



December 3, 2014

UPS GROUND

Ms. Allyne Spinner
Executive Director
First Steps to Recovery, Inc.
Ground Floor
312 West 47th Street
New York, New York 10036

Re: Provider #2090
Conditional Operating Certificate #C151010787
PRU #6272

Dear Ms. Spinner:

Conditional Operating Certificate #C151010787 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for First Steps to Recovery, Inc. to operate a Part 822-4 chemical dependence outpatient service at 310-312 West 47th Street, New York, effective November 1, 2014.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Since this is now the second consecutive *Conditional* Operating Certificate, OASAS hereby directs First Steps to Recovery, Inc. to contact the Lower Manhattan Field Office by close of business on December 10, 2014 to set up a meeting to discuss corrective action measures.

Failure to meet this condition in a timely manner may result in fines, limitations and/or revocation of the Operating Certificate.

A standard Operating Certificate may be issued upon determination by OASAS that First Steps to Recovery, Inc.'s corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next recertification review.

This Operating Certificate is to be prominently displayed at the service site.

Ms. Allyne Spinner
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The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Reggie Williams
David Herbert
Rick Olm
Sarita Wells
Gail Keeler
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	First Steps to Recovery, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	Ground Floor
Street Address:	310-312 West 47th Street
City and Zip Code:	New York, 10036

Provider Number:	2090
Operating Certificate Number:	1510 10787
Recertification Review Number:	NY14003
Recertification Review Conducted:	10/15/2014 to: 10/20/2014

PRU Number(s): 6272 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.42	2.95		1 year
▶ Service Management	3.47	3.83		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
1012	Ground Floor, 310-312 West 47th Street, New York 10036	ML	19197	6/7/2013	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input checked="" type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is one year

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
First Steps to Recovery, Inc.



is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Ground Floor
310-312 West 47th Street
New York, New York 10036-3104

Program Name(s)

● Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C151010787

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: November 01, 2014
EXPIRATION DATE: October 31, 2015