NEW YORK STATE | Office of Alcoholism and Substance Abuse Services

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S., L.M.S.W.
Commissioner

December 8, 2016

UPS GROUND

Howard K. Hitzel, Psy.D.
President
Lake Shore Behavioral Health, Inc.
255 Delaware Avenue, Suite 300
Buffalo, New York 14202-2016

Re: Provider #50250
Operating Certificate #191010843
PRU #711

Dear Dr. Hitzel:

Operating Certificate #191010843 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Lake Shore Behavioral Health, Inc. to operate a Part 822 chemical dependence outpatient service at 951-955 Niagara Street, Buffalo, and including the certified site(s) listed on the Operating Certificate Addendum, effective November 1, 2016.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

Lake Shore Behavioral Health, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet Paloski

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Tim Donovan
Donna Stott
Healthcare Financing
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Michael Ranney (Erie County)
William J. Gajewski (Chairman, Board of Directors, Lake Shore Behavioral Health, Inc.)
David Wheeler (Program Director, Lake Shore Behavioral Health, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Lake Shore Behavioral Health, Inc.
Active Parent Corporation:
Certified Program/Service Reviewed: Outpatient Services

Building:
Room/ Floor 1st and 2nd Floors
Street Address: 951-955 Niagara Street
City and Zip Code: Buffalo, 14213

Provider Number: 50250
Operating Certificate Number: 1910 10843
Recertification Review Number: AL15139
Recertification Review Conducted: 10/11/2016 to: 10/14/2016

PRU Number(s): 711 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	Quality Indicator	Overall	Yes/No	Renewal Term
▶Case Records	3.85	3.79		3 years
▶Service Management	4.00	3.92		3 years
▶Facility	n/a	4.00		3 years

▶Red Flag Deficiency(ies)
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

▶Quality Services Review n/a

FISCAL VIABILITY REVIEWS

Term

☐ Fiscal viability package not submitted.

☐ Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

☑ Current Ratio 1.41 Overall Ratio 1.97 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Lake Shore Behavioral Health, Inc.

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)	
1st and 2nd Floors 951-955 Niagara Street Buffalo, New York 14213-2116	Lower West Side Addictions Services	• Part 822 Outpatient Services [with ancillary withdrawal services]	Additional Location(s) Addendum Attached

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

191010843

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: November 01, 2016
EXPIRATION DATE: October 31, 2019

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 191010843

Lake Shore Behavioral Health, Inc.

CERTIFIED ADDITIONAL LOCATION(S)

As of November 01, 2016

Site	Service(s)	Site	Service(s)
2nd Floor 254 Franklin Street Buffalo, New York 14202-1932	• Part 822 Outpatient Services		