



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

May 26, 2015

UPS GROUND

Howard K. Hitzel, Psy.D.
President
Lake Shore Behavioral Health, Inc.
255 Delaware Avenue, Suite 300
Buffalo, New York 14202

Re: Provider #50250
Operating Certificate #170510844
PRU #1174

Dear Dr. Hitzel:

Operating Certificate #170510844 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Lake Shore Behavioral Health, Inc. to operate a Part 822-4 chemical dependence outpatient service at 3176 Abbott Road, Orchard Park, and including the certified site listed on the Operating Certificate Addendum, effective June 1, 2015.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Lake Shore Behavioral Health, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Howard K. Hitzel, Psy.D.
Page 2 of 2
May 26, 2015

cc w/encs.: Charles W. Monson
Manuel Mosquera
Patrick Morrison
Sarita Wells
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Michael Ranney (Erie County)
William Gajewski (Board Chair, Lake Shore Behavioral Health, Inc.)
Bonnie Malburg (Program Director, Lake Shore Behavioral Health, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Lake Shore Behavioral Health, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	3176 Abbott Road
City and Zip Code:	Orchard Park, 14127

Provider Number:	50250
Operating Certificate Number:	1705 10844
Recertification Review Number:	AL14128
Recertification Review Conducted:	1/5/2015 to: 1/8/2015

PRU Number(s): 1174 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
--	Quality Services Review	9 months
--	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.54	2.83		2 years
▶ Service Management	4.00	3.72		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				n/a
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
6726	3176 Abbott Road, Orchard Park 14127	ML	19933	2/26/2014	None	3 years
7609	2107 Spruce Street, North Collins 14111	AL	21056	1/5/2015	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 1.98 Overall Ratio 2.9 3 year

CERTIFICATE TERM

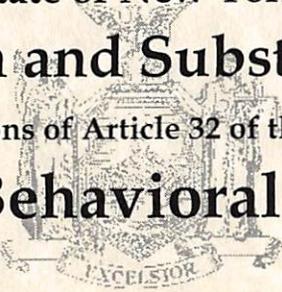
The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Lake Shore Behavioral Health, Inc.



is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Additional Location(s) Addendum Attached

3176 Abbott Road
Orchard Park, New York 14127-
1069

Abbott Corners Addiction Services

- Part 822-4 Outpatient Services [with ancillary withdrawal services]



Charles W. Monson

170510844

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: June 01, 2015
EXPIRATION DATE: May 31, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 170510844

Lake Shore Behavioral Health, Inc.

CERTIFIED ADDITIONAL LOCATION(S)

As of June 01, 2015

Site

Service(s)

Site

Service(s)

2107 Spruce Street
North Collins, New York 14111-0458

- Part 822-4 Outpatient Services