



January 22, 2014

UPS GROUND

Mr. Bruce C. Nisbet
President/CEO
Mental Health Services – Erie County
South East Corporation V d/b/a
Spectrum Human Services
227 Thorn Avenue
Orchard Park, New York 14127-0631

Re: Provider #50240
Operating Certificate #160110870
PRU #50394

Dear Mr. Nisbet:

Operating Certificate #160110870 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services to operate a Part 822-4 chemical dependence outpatient service at 2040 Seneca Street, Buffalo, effective February 1, 2014.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Bruce C. Nisbet
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cc w/encs.: Charles W. Monson
Kathy Murphy
Patrick Morrison
Holly Livingston
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Ellery Reaves (Erie County)
Robert O'Leary (Chairperson, Mental Health Services – Erie County South East
Corporation V d/b/a Spectrum Human Services)
Ann Bowback (Program Manager, Mental Health Services – Erie County South
East Corporation V d/b/a Spectrum Human Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|---|
| Provider Legal Name: | Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Outpatient Services |

| | |
|--------------------|---------------------------------|
| Building: | South Buffalo Counseling Center |
| Room/ Floor: | |
| Street Address: | 2040 Seneca Street |
| City and Zip Code: | Buffalo, 14210 |

| | |
|-----------------------------------|---------------------------|
| Provider Number: | 50240 |
| Operating Certificate Number: | 1601 10870 |
| Recertification Review Number: | AL13079 |
| Recertification Review Conducted: | 11/12/2013 to: 11/15/2013 |

PRU Number(s) 50394 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 2.85 | 2.95 | | 2 years |
| ▶ Service Management | 3.71 | 3.92 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | n/a |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| <u>Site#</u> | <u>Address</u> | <u>Site Type</u> | <u>Ins #</u> | <u>Date</u> | <u>Deficiency</u> | <u>Renewal Term</u> |
|--------------|--|------------------|--------------|-------------|-------------------|---------------------|
| 2476 | South Buffalo Counseling Center, 2040 Seneca Street, Buffalo 14210 | ML | 16060 | 9/16/2010 | None | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input checked="" type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years.

State of New York

Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
**Mental Health Services - Erie County South East
Corporation V d/b/a Spectrum Human Services**

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

South Buffalo Counseling
Center
2040 Seneca Street
Buffalo, New York 14210-2339

Program Name(s) Service(s)

- Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160110870

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: February 01, 2014

EXPIRATION DATE: January 31, 2016