NEW YORK STATE | Office of Alcoholism and Substance Abuse Services

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S., L.M.S.W.
Commissioner

December 18, 2015

UPS GROUND

Mr. Bruce C. Nisbet
President/CEO
Mental Health Services – Erie County South East
Corporation V d/b/a Spectrum Human Services
P.O. Box 631
227 Thorn Avenue
Orchard Park, New York 14127-0631

Re: Provider #50240
Operating Certificate #181110871
PRU #50393

Dear Mr. Nisbet:

Operating Certificate #181110871 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services to operate a Part 822 chemical dependence outpatient service at 326 Orchard Park Road, West Seneca, and including the certified site listed on the Operating Certificate Addendum, effective December 1, 2015.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Tim Donovan
Patrick Morrison
Cathy Shippey
Gail Keeler
Rate Based Provider Unit (DOH)
Michael Ranney (Erie County)
Robert O'Leary (Chairperson, Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services)
Julie Gutowski (Managing Director, Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	326 Orchard Park Road
City and Zip Code:	West Seneca, 14224

Provider Number:	50240
Operating Certificate Number:	1811 10871
Recertification Review Number:	AL15029
Recertification Review Conducted:	5/12/2015 to: 5/15/2015

PRU Number(s): 50393 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

	COMPLIANCE STATUS			
	Quality Indicator	Overall	Yes/No	Renewal Term
▶Case Records	3.92	3.80		3 years
▶Service Management	3.71	3.50		3 years
▶Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
3644	27 Franklin Street, Springville 14141	AL	21113	5/12/2015	None	3 years
7784	326 Orchard Park Road, West Seneca 14224	ML	21040	4/14/2015	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked

Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads

Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS	***Term***

☐ Fiscal viability package not submitted.

☐ Data received insufficient to determine fiscal viability.

☐ Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

☑ Current Ratio 1.13 Overall Ratio 1.28 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
326 Orchard Park Road West Seneca, New York 14224-2635		• Part 822 Outpatient Services

Additional Location(s) Addendum Attached

STATE OF NEW YORK
OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
EXCELSIOR

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

181110871

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: December 01, 2015
EXPIRATION DATE: November 30, 2018

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 181110871

Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services

CERTIFIED ADDITIONAL LOCATION(S)

As of December 01, 2015

Site	Service(s)	Site	Service(s)
27 Franklin Street Springville, New York 14141-0319	• Part 822 Outpatient Services		