



May 9, 2013

UPS GROUND

Ms. Cynthia Huether  
VP for Behavioral Health  
The Unity Hospital of Rochester  
81 Lake Avenue  
Rochester, New York 14608

Re: Operating Certificate #160410921

Dear Ms. Huether:

Operating Certificate #160410921 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Unity Hospital of Rochester to operate a Part 822-4 chemical dependence outpatient service at 1565 Long Pond Road, Rochester, effective May 1, 2013.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Unity Hospital of Rochester is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures  
cc w/encs.:

Charles W. Monson  
Kathy Murphy  
Donna Pagano-Stott  
Holly Livingston  
Janet Rucki  
Fran Weisberg (Finger Lakes HSA)  
Rate Based Provider Unit (DOH)

Kathleen Coyne Plum (Monroe County)  
Jeffrey Mapstone (Managing Partner/Health  
Care Group, Chair of Board, The Unity  
Hospital of Rochester)  
Christie Blanda (Manager, The Unity  
Hospital of Rochester)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Unity Hospital of Rochester
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Chemical Dependency Building
Room/ Floor	
Street Address:	1565 Long Pond Road
City and Zip Code:	Rochester, 14626

Provider Number:	83250
Operating Certificate Number:	1604 10921
Recertification Review Number:	AL12094
Recertification Review Conducted:	4/9/2013 to: 4/12/2013

PRU Number(s) 50762 Capacity:

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.33	3.64		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
81	Chemical Dependency Building, 1565 Long Pond Road, Rochester 14626	ML	18431	10/2/2012	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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**FISCAL VIABILITY REVIEWS**

- |  |          |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.   | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.   | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.  | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.  | 2 years  |
| <input type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York  
Office of Alcoholism and Substance Abuse Services  
Pursuant to the provisions of Article 32 of the Mental Hygiene Law  
The Unity Hospital of Rochester

is hereby granted this

CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Chemical Dependency Building  
1565 Long Pond Road  
Rochester, New York 14626-4122

Program Name(s)

- Part 822-4 Outpatient Services

Service(s)



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

160410921

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: May 01, 2013

EXPIRATION DATE: April 30, 2016