



January 22, 2015

UPS GROUND

Ms. Cynthia Huether
VP for Behavioral Health
The Unity Hospital of Rochester
81 Lake Avenue
Rochester, New York 14608

Re: Provider #83250
Operating Certificate #170210922
PRU #50764

Dear Ms. Huether:

Operating Certificate #170210922 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Unity Hospital of Rochester to operate a Part 822-4 chemical dependence outpatient service at 2000 Winton Road South, Rochester, effective March 1, 2015.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Unity Hospital of Rochester is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Cynthia Huether
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Pagano-Stott
Sarita Wells
Janet Rucki
Rate Based Provider Unit (DOH)
David Putney (Monroe County)
Jeffrey Mapstone (Managing Partner/Health Care Group, Chair of the Board, The
Unity Hospital of Rochester)
Richard Caruso (Director, The Unity Hospital of Rochester)

**NEW YORK STATE OFFICE OF ALCOHOL AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Unity Hospital of Rochester
Active Parent Corporation:	RU System, Inc.
Certified Program/Service Reviewed:	Outpatient Services

Building:	Building 2
Room/ Floor	1st Floor
Street Address:	2000 Winton Road South
City and Zip Code:	Rochester, 14618

Provider Number:	83250
Operating Certificate Number:	1702 10922
Recertification Review Number:	AL14091
Recertification Review Conducted:	8/18/2014 to: 8/21/2014

PRU Number(s): 50764 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.61	3.30		2 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
83	Building 2, 1st Floor, 2000 Winton Road South, Rochester 14618	ML	19879	2/13/2014	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled, or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The Unity Hospital of Rochester

Active Parent Corporation: RU System, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Building 2
1st Floor
2000 Winton Road South
Rochester, New York 14618-3970

Program Name(s)

● Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170210922

CERTIFICATE NUMBER

EFFECTIVE DATE: March 01, 2015

Renewed

EXPIRATION DATE: February 28, 2017