



January 14, 2014

UPS GROUND

Mr. Howard P. Meitiner  
President and CEO  
Phoenix House Foundation, Inc.  
164 West 74<sup>th</sup> Street, 4<sup>th</sup> Floor  
New York, New York 10023

Re: Provider #42830  
Operating Certificate #151210940  
PRU #52161

Dear Mr. Meitiner:

Operating Certificate #151210940 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Phoenix House Foundation, Inc. to operate a Part 822-4 chemical dependence outpatient service at 164 West 74<sup>th</sup> Street, New York, effective January 1, 2014.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Phoenix House Foundation, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Howard P. Meitiner  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Sheila Roach  
Holly Livingston  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Thomas W. Jasper (Chairman of the Board of Directors, Phoenix House  
Foundation, Inc.)  
Karen Mohammed (Clinical Director, Phoenix House Foundation, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Phoenix House Foundation, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	1st & 3rd Floors
Street Address:	164 West 74th Street
City and Zip Code:	New York, 10023

Provider Number:	42830
Operating Certificate Number:	1512 10940
Recertification Review Number:	NY13105
Recertification Review Conducted:	9/17/2013 to: 9/20/2013

PRU Number(s)    52161    Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.67	2.76		2 years
▶ Service Management	4.00	3.92		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7294	55 West 125th Street, New York 10027	AL	19365	8/2/2013	None	3 years
6777	1st & 3rd Floors, 164 West 74th Street, New York 10023	ML	19302	7/1/2013	Notable	2 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |   |          |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is two years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Phoenix House Foundation, Inc.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st & 3rd Floors  
164 West 74th Street  
New York, New York 10023-2301

Program Name(s)

Phoenix House Jack Aaron Center

Service(s)

● Part 822-4 Outpatient Services

Additional Location(s) Addendum Attached



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

151210940

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2014

EXPIRATION DATE: December 31, 2015

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 151210940

**Phoenix House Foundation, Inc.**

CERTIFIED ADDITIONAL LOCATION(S)

As of January 01, 2014

Site

Service(s)

Site

Service(s)

55 West 125th Street  
New York, New York 10027-4516

- Part 822.4 Outpatient Services