

May 20, 2013

UPS GROUND

Ms. Mary LaRowe  
President, Chief Executive Officer  
St. James Mercy Hospital  
411 Canisteo Street  
Hornell, New York 14843-2104

Re: Operating Certificate #160511001

Dear Ms. LaRowe:

Operating Certificate #160511001 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for St. James Mercy Hospital to operate a Part 822-4 chemical dependence outpatient service at 1 Bethesda Drive, Hornell, effective June 1, 2013.

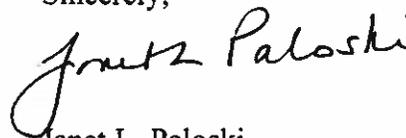
The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

St. James Mercy Hospital is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures

Ms. Mary LaRowe  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
Donna Stott  
Holly Livingston  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Fran Weisberg (HSA)  
Hank Chapman (Steuben County)  
Sylvia Bryant (President of the Board of Directors, St. James Mercy Hospital)  
Ann M. Domingos (Program Director, St. James Mercy Hospital)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	St. James Mercy Hospital
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	1st Floor
Street Address:	1 Bethesda Drive
City and Zip Code:	Hornell, 14843

Provider Number:	85380
Operating Certificate Number:	1605 11001
Recertification Review Number:	AL12108
Recertification Review Conducted:	2/11/2013 to: 2/14/2013

PRU Number(s) 51519 Capacity:

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.46	3.48		3 years
▶ Service Management	3.73	3.83		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
338	1st Floor, 1 Bethesda Drive, Hornell 14843	ML	18271	7/11/2012	None	3 years

**Site Type Codes**

ML - Main Location  
AL - Additional Location  
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked  
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads  
Notable - any other OASAS or building code violation

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**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

**FISCAL VIABILITY REVIEW**

- |  |          |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.   | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.   | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.  | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.  | 2 years  |
| <input type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## St. James Mercy Hospital

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

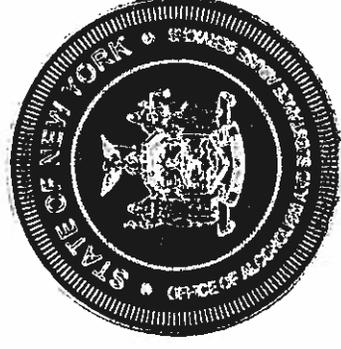
Site

1st Floor  
1 Bethesda Drive  
Hornell, New York 14843-1048

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

160511001

CERTIFICATE NUMBER

EFFECTIVE DATE: June 01, 2013

Renewed

EXPIRATION DATE: May 31, 2016