



February 27, 2014

UPS GROUND

Henry Chapman, Psy. D.
Executive Director
Steuben County Alcoholism and Substance Abuse Services
115 Liberty Street
Bath, New York 14810

Re: Provider #70640
Operating Certificate #170311030
PRU #50913

Dear Dr. Chapman:

Operating Certificate #170311030 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Steuben County Alcoholism and Substance Abuse Services to operate a Part 822-4 chemical dependence outpatient service at 115 Liberty Street, Bath, effective April 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Steuben County Alcoholism and Substance Abuse Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Dr. Henry Chapman
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Pagano-Stott
Holly Livingston
Janet Rucki
Rate Based Provider Unit (DOH)
Cora Saxton (Board Chair, Steuben County Alcoholism and Substance Abuse
Services)
Kimberly S. Jessup (Program Coordinator, Steuben County Alcoholism and
Substance Abuse Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Steuben County Alcoholism and Substance Abuse Services
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	4th Floor
Street Address:	115 Liberty Street
City and Zip Code:	Bath, 14810

Provider Number:	70640
Operating Certificate Number:	1703 11030
Recertification Review Number:	AL13100
Recertification Review Conducted:	10/29/2013 to: 10/31/2013

PRU Number(s) 50913 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
0 - 1.75	Red Flag Deficiency(ies)	6 months
1.76 - 2.50	Noncompliance	6 months
2.51 - 3.25	Minimal Compliance	1 year
3.26 - 4.00	Partial Compliance	2 years
	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.38	3.53		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
2738	4th Floor, 115 Liberty Street, Bath 14810	ML	18112	5/16/2012	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Steuben County
Alcoholism and Substance Abuse Services

is hereby granted this

CHEMICAL DEPENDENCE
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site 4th Floor
115 Liberty Street
Bath, New York 14810-1508

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170311030

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: April 01, 2014

EXPIRATION DATE: March 31, 2017