



NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

December 17, 2014

UPS GROUND

Lewis Zulick, M.D.
President and CEO
The Clifton Springs Sanitarium Company d/b/a
Clifton Springs Hospital & Clinic
2 Coulter Road
Clifton Springs, New York 14432-1122

Re: Provider #85490
Operating Certificate #171011052
PRU #50027

Dear Dr. Zulick:

Operating Certificate #171011052 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic to operate a Part 822-4 chemical dependence outpatient service at 35 North Street, Canandaigua, and including the certified site listed on the Operating Certificate Addendum, effective November 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Lewis Zulick, M.D.
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Stott
Sarita Wells
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Diane L. Johnston (Ontario County)
R. Clinton Emery (Chairman of the Board, The Clifton Springs Sanitarium
Company d/b/a Clifton Springs Hospital & Clinic)
Jennifer Meeks (Site Supervisor, The Clifton Springs Sanitarium Company d/b/a
Clifton Springs Hospital & Clinic)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	35 North Street
City and Zip Code:	Canandaigua, 14424

Provider Number:	85490
Operating Certificate Number:	1710 11052
Recertification Review Number:	AL14033
Recertification Review Conducted:	5/19/2014 to: 5/21/2014

PRU Number(s): 50027 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.38	3.33		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
4638	Woodbury Building, 1st Floor, 2 Coulter Road, Clifton Springs 14432	AL	18904	4/4/2013	None	3 years
6791	35 North Street, Canandaigua 14424	ML	19091	5/10/2013	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

35 North Street
Canandaigua, New York 14424-
1075

Program Name(s) Service(s)

- Part 822-4 Outpatient Services

Additional Location(s) Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

171011052

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: November 01, 2014

EXPIRATION DATE: October 31, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 171011052

**The Clifton Springs Sanitarium Company
d/b/a Clifton Springs Hospital & Clinic**

CERTIFIED ADDITIONAL LOCATION(S)

As of November 01, 2014

Site

Clifton Springs Hospital
Woodbury Building
1st Floor
2 Coultter Road
Clifton Springs, New York 14432-1122

Service(s)

- Part 822-4 Outpatient Services

Site

Service(s)