



NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

July 13, 2012

UPS GROUND

Mr. Herbert Barish
President and Chief Executive Officer
The Lower East Side Service Center, Inc.
80 Maiden Lane, 2nd Floor
New York, New York 10038

Re: Operating Certificate #150511062

Dear Mr. Barish:

Operating Certificate #150511062 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Lower East Side Service Center, Inc. to operate a Part 822-4 chemical dependence outpatient service at 46 East Broadway, New York, effective June 1, 2012.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Lower East Side Service Center, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Herbert Barish
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July 13, 2012

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
Holly Livingston
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Patricia A. Hoffman (Board Chair, The Lower East Side Service Center, Inc.)
Gerald Fishmen, Ph.D. (Interim Program Director/Clinical Supervisor, The Lower
East Side Service Center, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Lower East Side Service Center, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	4th - 7th Floors
Street Address:	46 East Broadway
City and Zip Code:	New York, 10002

Provider Number:	23720
Operating Certificate Number:	1505 11062
Recertification Review Number:	NY11198
Recertification Review Conducted:	4/26/2012 to: 5/1/2012

PRU Number(s) 7294 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.85	3.89		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
7250	4th - 7th Floors, 46 East Broadway, New York 10002	ML	18072	5/4/2012	CAP Completed	3 years

Site Type Codes

- ML - Main Location
- AL - Additional Location
- Apt - Apartment

Deficiency Code Examples

- Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
- Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
- Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The Lower East Side Service Center, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

4th - 7th Floors
46 East Broadway
New York, New York 10002-6803

Program Name(s)

- Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

150511062

CERTIFICATE NUMBER

EFFECTIVE DATE: June 01, 2012

Renewed

EXPIRATION DATE: May 31, 2015