



February 24, 2015

UPS GROUND

Mr. Dennis Walczyk  
Chief Executive Officer  
The Monsignor Carr Institute  
710 Delaware Avenue  
Buffalo, New York 14209

Re: Provider #24680  
*Conditional* Operating Certificate #C160211063  
PRU #5595

Dear Mr. Walczyk:

*Conditional* Operating Certificate #C160211063 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for The Monsignor Carr Institute to operate a Part 822-4 Outpatient Services program at 76 West Humboldt Parkway, Buffalo, effective March 1, 2015.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that The Monsignor Carr Institute's corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next recertification review.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Dennis Walczyk  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
David Herbert  
Rick Olm  
Sarita Wells  
John Van Horn  
Linda Hefferon  
Rate Based Provider Unit (DOH)  
Deborah Goldman (Erie County)  
Brian O'Herron (Department Director, DCAS, The Monsignor Carr Institute)  
Bernhard Arnesen, III (Program Supervisor, The Monsignor Carr Institute)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Monsignor Carr Institute
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Annex
Room/ Floor	1st and 2nd Floors
Street Address:	76 West Humboldt Parkway
City and Zip Code:	Buffalo, 14214

Provider Number:	24680
Operating Certificate Number:	1602 11063
Recertification Review Number:	AL14093
Recertification Review Conducted:	9/23/2014 to: 9/26/2014

PRU Number(s): 5595 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.08	2.66		1 year
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies)				
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
1562	Annex, 1st and 2nd Floors, 76 West Humboldt Parkway, Buffalo 14214	ML	19355	7/19/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable.  | 3 years  |
| <input type="checkbox"/>            | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is one year

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## The Monsignor Carr Institute

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Annex  
1st and 2nd Floors  
76 West Humboldt Parkway  
Buffalo, New York 14214-2605

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C160211063

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: March 01, 2015  
EXPIRATION DATE: February 29, 2016