



December 12, 2013

UPS GROUND

Harvey D. Karkus, M.D.  
Executive Director  
Carnegie Hill Institute, Inc.  
116 East 92<sup>nd</sup> Street, Apt. 1BC  
New York, New York 10128

Re: Provider #549  
Operating Certificate #161211257  
PRU #7335

Dear Dr. Karkus:

Operating Certificate #161211257 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Carnegie Hill Institute, Inc. to operate a Part 822-4 chemical dependence outpatient service at 116 East 92<sup>nd</sup> Street, New York, effective January 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Carnegie Hill Institute, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures

Harvey D. Karkus, M.D.

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December 12, 2013

cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Ivan Garcia  
Holly Livingston  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Anna M. Karkus (Administrative Director, Carnegie Hill Institute, Inc.)  
Lester Harper (Clinical Director, Carnegie Hill Institute, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Carnegie Hill Institute, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	Basement & 1st Floor
Street Address:	116 East 92nd Street
City and Zip Code:	New York, 10128

Provider Number:	549
Operating Certificate Number:	1612 11257
Recertification Review Number:	NY13109
Recertification Review Conducted:	1/15/2013 to: 10/17/2013

PRU Number(s)    7335    Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.92	3.79		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
859	Basement & 1st Floor, 116 East 92nd Street, New York 10128	ML	19325	7/15/2013	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |   |          |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is three years

State of New York  
Office of Alcoholism and Substance Abuse Services  
Pursuant to the provisions of Article 32 of the Mental Hygiene Law  
Carnegie Hill Institute, Inc.

is hereby granted this

CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Basement & 1st Floor  
116 East 92nd Street  
New York, New York 10128-1620

Program Name(s)

- Part 822-4 Outpatient Services

Service(s)



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

161211257

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2014

EXPIRATION DATE: December 31, 2016