



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

December 29, 2015

UPS GROUND

Mr. Stuart R. Steiner
President, Executive Director
SES Operating Corp. d/b/a Harlem East Life Plan
2369 Second Avenue
New York, New York 10035-3108

Re: Provider #550
Operating Certificate #181211292
PRU #51840

Dear Mr. Steiner:

Operating Certificate #181211292 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for SES Operating Corp. d/b/a Harlem East Life Plan to operate a Part 822 chemical dependence outpatient service at 2367 Second Avenue and 2369 Second Avenue, New York, effective January 1, 2016.

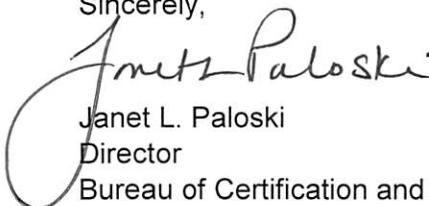
The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

SES Operating Corp. d/b/a Harlem East Life Plan is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Stuart R. Steiner
Page 2 of 2
December 29, 2015

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Reggie Williams
Cathy Shippey
Gail Keeler
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Cynthia Holman (Clinical Director, SES Operating Corp. d/b/a Harlem East Life
Plan)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: SES Operating Corp. d/b/a Harlem East Life Plan
 Active Parent Corporation: _____
 Certified Program/Service Reviewed: Outpatient Services

Building: _____
 Room/ Floor: _____
 Street Address: 2367 Second Avenue, 1st Floor
 City and Zip Code: New York, 10035

Provider Number: 550
 Operating Certificate Number: 1812 11292
 Recertification Review Number: NY15102
 Recertification Review Conducted: 8/27/2015 to: 9/4/2015

PRU Number(s): 51840 Capacity: _____

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.62	3.73		3 years
▶ Service Management	4.00	4.00		3 years
▶ Facility	3.43	3.80		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

<u>Current Ratio</u>	<u>Total Ratio</u>
<u>Current Assets to Current Liabilities</u>	<u>Total Assets to Total Liabilities</u>
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 3665612 Overall Ratio 6229947 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

SES Operating Corp. d/b/a Harlem East Life Plan

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

2367 Second Avenue, 1st Floor
2369 Second Avenue, 3rd Floor
New York, New York 10035-3108

Harlem East Life Plan (HELP)

- Part 822 Outpatient Services



Charles W. Monson

181211292

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: January 01, 2016
EXPIRATION DATE: December 31, 2018