



Office of Alcoholism and  
Substance Abuse Services

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

October 25, 2016

UPS GROUND

Howard Hitzel, PsyD  
President  
Lake Shore Behavioral Health, Inc.  
Suite 300  
255 Delaware Avenue  
Buffalo, New York 14202-2016

Re: Provider #50250  
*Conditional* Operating Certificate #C171011304  
PRU #7328

Dear Dr. Hitzel:

*Conditional* Operating Certificate #C171011304 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Lake Shore Behavioral Health, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation service at 232-262 Hempstead Avenue, Buffalo, effective November 1, 2016.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Lake Shore Behavioral Health, Inc.'s deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

Lake Shore Behavioral Health, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director

Bureau of Certification and Systems Management

Enclosures

Howard Hitzel, PsyD  
Page 2 of 2  
October 25, 2016

cc w/encs.: Charles W. Monson  
Tim Donovan  
Donna Stott  
Marjorie Catalano  
Jane Gifford  
Cathy Shippey  
Gail Keeler  
Michael Ranney (Erie County)  
William Gajewski (Board Chair, Lake Shore Behavioral Health, Inc.)  
Kelly Whitman (Program Director, Lake Shore Behavioral Health, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Lake Shore Behavioral Health, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Intensive Residential Rehabilitation

Building:	
Room/ Floor	1st and 2nd Floors
Street Address:	232-262 Hempstead Avenue
City and Zip Code:	Buffalo, 14215

Provider Number:	50250
Operating Certificate Number:	1710 11304
Recertification Review Number:	AL16024
Recertification Review Conducted:	6/20/2016 to: 6/23/2016

PRU Number(s): 7328 Capacity: 22

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.18	3.05		1 year
▶ Service Management	3.85	3.69		3 years
▶ Facility	n/a	3.64		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

**Fiscal Viability Levels**

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 1.41 Overall Ratio 1.97 3 year

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is one year

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Lake Shore Behavioral Health, Inc.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st and 2nd Floors  
232-262 Hempstead Avenue  
Buffalo, New York 14215-3404

Program Name(s)

The Lighthouse - Women's Residential  
Treatment Program

Service(s)

- Part 819 Intensive Residential Rehabilitation - 22  
Adult beds and up to 30 beds for their children



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C171011304

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: November 01, 2016  
EXPIRATION DATE: October 31, 2017