



May 1, 2013

UPS GROUND

Mr. Mark Clement
President and Chief Executive Officer
The Rochester General Hospital
1425 Portland Avenue
Rochester, New York 14621-3095

Re: Operating Certificate #160411337

Dear Mr. Clement:

Operating Certificate #160411337 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Rochester General Hospital to operate a Part 822-4 chemical dependence outpatient service at 490 East Ridge Road, Rochester, effective May 1, 2013.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Rochester General Hospital is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Mark Clement
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Stott
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
Fran Weisberg (Finger Lakes HSA)
Kathleen Coyne Plum (Monroe County)
Linda Becker (Board President, The Rochester General Hospital)
Dorothy K. Marion (Outpatient Service Director, The Rochester General
Hospital)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Rochester General Hospital
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Rochester Mental Health Center
Room/ Floor	1st & 2nd Floors - Rooms 22A, 22B, 18, 19, 25, 2
Street Address:	490 East Ridge Road
City and Zip Code:	Rochester, 14621

Provider Number:	85320
Operating Certificate Number:	1604 11337
Recertification Review Number:	AL12096
Recertification Review Conducted:	1/22/2013 to: 1/25/2013

PRU Number(s) 50216 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

- ▶ Case Records
- ▶ Service Management
- ▶ Red Flag Deficiency(ies)
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)
- ▶ Quality Services Review

COMPLIANCE STATUS

<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
3.38	3.31		3 years
4.00	4.00		3 years
			n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
6664	Rochester Mental Health Center Hart Building, Rooms 22A, 22B, 18, 19, 25, 26, 77, 79 & 80, 1st & 2nd Floors, 490 East Ridge Road, Rochester 14621	ML	16922	2/24/2011	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
The Rochester General Hospital



is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site Rochester Mental Health Center
Hart Building
1st & 2nd Floors
Rooms 22A, 22B, 18, 19, 25, 26,
77, 79 & 80
490 East Ridge Road
Rochester, New York 14621-1229

Program Name(s) Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160411337

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: May 01, 2013
EXPIRATION DATE: April 30, 2016