



August 7, 2012

UPS GROUND

Mr. Russ Traunstein
Director
NYS Office of Alcoholism and Substance Abuse Services
Dick Van Dyke Addiction Treatment Center
1330 County Road 132, Room 229
Ovid, New York 14521-9700

Re: Operating Certificate #150811381

Dear Mr. Traunstein:

Operating Certificate #150811381 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for New York State Office of Alcoholism and Substance Abuse Services Dick Van Dyke Addiction Treatment Center to operate a Part 818 chemical dependence inpatient rehabilitation service at 7116 County Road 132, Willard, effective September 1, 2012.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

New York State Office of Alcoholism and Substance Abuse Services Dick Van Dyke Addiction Treatment Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Russ Traunstein
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cc w/encs.: Charles W. Monson
Kathy Murphy
Steve Hanson
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
Fran Weisberg (Finger Lakes HSA)
Scott LaVigne (Seneca County Community Services Board)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	New York State Office of Alcoholism and Substance Abuse Services Dick Van Dyke Addiction Treatment
Active Parent Corporation:	
Certified Program/Service Reviewed:	Inpatient Rehabilitation Services

Building:	Building 112
Room/ Floor	
Street Address:	7116 County Road 132
City and Zip Code:	Willard, 14588

Provider Number:	90003
Operating Certificate Number:	1508 11381
Recertification Review Number:	AL12013
Recertification Review Conducted:	5/1/2012 to: 5/3/2012

PRU Number(s) 50725 Capacity: 30

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.73	3.84		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
664	Building 112, 7116 County Road 132, Willard 14588	ML	17789	12/29/2011	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

New York State Office of Alcoholism and Substance Abuse Services

Dick Van Dyke Addiction Treatment Center

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Building 112
7116 County Road 132
Willard, New York 14588-0039

Program Name(s)

Service(s)

- Part 818 Inpatient Rehabilitation Services - 30 beds
[with the use of methadone]



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

150811381

CERTIFICATE NUMBER

EFFECTIVE DATE: September 01, 2012

Renewed

EXPIRATION DATE: August 31, 2015