



OASAS
Improving Lives.

NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

August 21, 2014

UPS GROUND

Mr. David Freeman
Director
New York State Office of Alcoholism and
Substance Abuse Services
John L. Norris Addiction Treatment Center
Building 1
1st Floor, Room 146
1111 Elmwood Avenue
Rochester, New York 14620-4238

Re: Provider #90002
Operating Certificate #170811382
PRU #50722

Dear Mr. Freeman:

Operating Certificate #170811382 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for New York State Office of Alcoholism and Substance Abuse Services John L. Norris Addiction Treatment Center to operate a Part 818 chemical dependence inpatient rehabilitation service at 1732 South Avenue, Rochester, effective September 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

New York State Office of Alcoholism and Substance Abuse Services John L. Norris Addiction Treatment Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. David Freeman
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August 21, 2014

cc w/encs.: Charles W. Monson
Kathy Murphy
Steve Hanson
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
David Putney (Monroe County)
James Christie (Assistant Director, New York State Office of Alcoholism and
Substance Abuse Services John L. Norris Addiction Treatment Center)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	New York State Office of Alcoholism and Substance Abuse Services John L. Norris Addiction Treatment C
Active Parent Corporation:	
Certified Program/Service Reviewed:	Inpatient Rehabilitation Services

Building:	Rochester Psychiatric Center
Room/ Floor	Building 1, 1st Floor
Street Address:	1732 South Avenue
City and Zip Code:	Rochester, 14620

Provider Number:	90002
Operating Certificate Number:	1708 11382
Recertification Review Number:	AL14013
Recertification Review Conducted:	4/21/2014 to: 4/24/2014

PRU Number(s) 50722 Capacity: 44

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.50	3.72		3 years
▶ Service Management	4.00	3.93		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
663	Rochester Psychiatric Center, Building 1, 1st Floor, 1732 South Avenue, Rochester 14620	ML	19668	11/15/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
New York State Office of Alcoholism and Substance Abuse Services
John L. Norris Addiction Treatment Center

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Rochester Psychiatric Center
Building 1, 1st Floor
1732 South Avenue
Rochester, New York 14620-4238

Program Name(s)

- Part 818 Inpatient Rehabilitation Services - 44 beds
[with the use of methadone]

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170811382

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: September 01, 2014

EXPIRATION DATE: August 31, 2017