



February 6, 2014

UPS GROUND

Mr. Timothy J. Jones
Director
New York State Office of Alcoholism and
Substance Abuse Services
McPike Addiction Treatment Center
Room B203, 2nd Floor
1213 Court Street
Utica, New York 13502-3803

Re: Provider #90004
Operating Certificate #170211386
PRU #50727

Dear Mr. Jones:

Operating Certificate #170211386 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for New York State Office of Alcoholism and Substance Abuse Services McPike Addiction Treatment Center to operate a Part 818 chemical dependence inpatient rehabilitation service at 1213 Court Street, Utica, effective March 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

New York State Office of Alcoholism and Substance Abuse Services McPike Addiction Treatment Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Timothy J. Jones
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cc w/encs.: Charles W. Monson
Kathy Murphy
Rochelle Cardillo
Holly Livingston
Steven Hanson
Gail Keeler
Rate Based Provider Unit (DOH)
Sara Bollinger (Central New York HSA)
Linda M. Nelson (Oneida County)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	New York State Office of Alcoholism and Substance Abuse Services McPike Addiction Treatment Center
Active Parent Corporation:	
Certified Program/Service Reviewed:	Inpatient Rehabilitation Services

Building:	
Room/ Floor	
Street Address:	1213 Court Street
City and Zip Code:	Utica, 13502

Provider Number:	90004
Operating Certificate Number:	1702 11386
Recertification Review Number:	AL13092
Recertification Review Conducted:	9/11/2013 to: 9/13/2013

PRU Number(s) 50727 Capacity: 68

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.36	3.80		3 years
▶ Service Management	4.00	3.93		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
666	1213 Court Street, Utica 13502	ML	19700	11/21/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

New York State Office of Alcoholism and Substance Abuse Services McPike Addiction Treatment Center

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1213 Court Street
Utica, New York 13502-3803

Program Name(s)

- Part 818 Inpatient Rehabilitation Services - 68 beds
[with the use of methadone]

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170211386

CERTIFICATE NUMBER

EFFECTIVE DATE: March 01, 2014

Renewed

EXPIRATION DATE: February 28, 2017