



August 22, 2014

UPS GROUND

Lewis Zulick, M.D.  
President and CEO  
The Clifton Springs Sanitarium Company  
d/b/a Clifton Springs Hospital & Clinic  
2 Coulter Road  
Clifton Springs, New York 14432-1122

Re: Provider #85490  
Operating Certificate #170811410  
PRU #50025

Dear Dr. Zulick:

Operating Certificate #170811410 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic to operate a Part 818 chemical dependence inpatient rehabilitation service at 2 Coulter Road, Clifton Springs, effective September 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Lewis Zulick, M.D.  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
Donna Stott  
Holly Livingston  
Gail Keeler  
Rate Based Provider Unit (DOH)  
Diane L. Johnston (Ontario County)  
R. Clinton Emery (Chairman of the Board, The Clifton Springs Sanitarium  
Company d/b/a Clifton Springs Hospital & Clinic)  
Janine Coppini (Senior Coordinator of Addictions Services, The Clifton Springs  
Sanitarium Company d/b/a Clifton Springs Hospital & Clinic)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic
Active Parent Corporation:	
Certified Program/Service Reviewed:	Inpatient Rehabilitation Services

Building:	Woodbury Building
Room/ Floor	3rd Floor
Street Address:	2 Coulter Road
City and Zip Code:	Clifton Springs, 14432

Provider Number:	85490
Operating Certificate Number:	1708 11410
Recertification Review Number:	AL14014
Recertification Review Conducted:	4/29/2014 to: 5/1/2014

PRU Number(s)    50025    Capacity:    30

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.64	3.51		3 years
▶ Service Management	4.00	3.80		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
506	Woodbury Building, 3rd Floor, 2 Coulter Road, Clifton Springs 14432	ML	19806	1/16/2014	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

**FISCAL VIABILITY REVIEWS**

- |  |          |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.   | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.   | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.  | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.  | 2 years  |
| <input type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## The Clifton Springs Sanitarium Company d/b/a Clifton Springs Hospital & Clinic

is hereby granted this

### CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Woodbury Building  
3rd Floor  
2 Couler Road  
Clifton Springs, New York  
14432-1122

Program Name(s)

The Inpatient Addiction Recovery Program

Service(s)

● Part 818 Inpatient Rehabilitation Services - 30 beds



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

170811410

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: September 01, 2014

EXPIRATION DATE: August 31, 2017