



November 1, 2010

UPS GROUND

Mr. Zackhar Golder
Chief Executive Officer
VERTEX LLC
1080 East Gun Hill Road
Bronx, New York 10469

Re: *Conditional* Operating Certificate #C110711484

Dear Mr. Golder:

Conditional Operating Certificate #C110711484 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for VERTEX LLC to operate a Part 822 chemical dependence outpatient service, at 1080 East Gun Hill Road, Bronx, effective October 21, 2010.

The nine month term of the *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*. OASAS' August 16-19, 2010 Quality Services Review determined that VERTEX LLC was providing services in excess of the clinical needs of its patients as defined in §822.11(k).

A standard Operating Certificate may be issued upon determination by OASAS that VERTEX LLC's corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next Quality Services Review and/or recertification review.

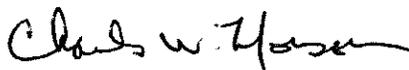
Please be aware that continued failure to operate the service in compliance with the applicable regulations may result in the revocation, suspension, or limitation of the Operating Certificate.

This Operating Certificate is to be prominently displayed at the service site.

Mr. Zackhar Golder
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October 29, 2010

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Charles W. Monson
Associate Commissioner
Quality Assurance and Performance Improvement

Enclosures

cc w/encs.: Robert Kent
Steven Rabinowitz
David Hui
William Lachanski
Rick Olm
Joan Disare
Holly Livingston
Janet Rucki
Rate Based Provider Unit (DOH)
Daliah Heller (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Zoya Gornopolsky (President, VERTEX LLC)
Jessica Velez (Site Director, VERTEX LLC)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	VERTEX LLC
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	1st & 2nd Floors
Street Address:	1080 East Gun Hill Road
City and Zip Code:	Bronx, 10469

Provider Number:	44120
Operating Certificate Number:	1107 11484
Recertification Review Number:	BE10012
Recertification Review Conducted:	8/16/2010 to: 8/19/2010

PRU Number(s) 51992 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	_____	_____	_____	_____
▶ Service Management	_____	_____	_____	_____
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)	_____	_____	_____	_____
▶ Quality Services Review		0.00		9 months

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
6033	1st & 2nd Floors, 1080 East Gun Hill Road, Bronx 10469	ML	14633	7/2/2009	None	3 years

Site Type Codes

- | |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

- | |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- 1. Fiscal viability package not submitted.
- 2. Data received insufficient to determine fiscal viability.
- 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.
- 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.
- 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.
- 6. Current financial position viable; however, overall financial position NOT viable.
- 7. Current and overall financial positions viable.
- 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

CERTIFICATE TERM

The term for the enclosed Operating Certificate is 9 months.

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

VERTEX LLC



is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

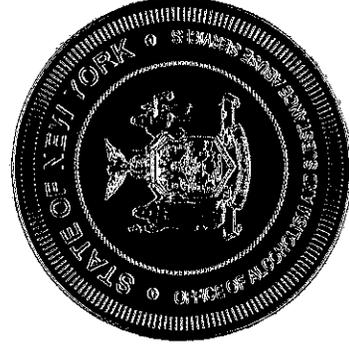
Site

1st & 2nd Floors
1080 East Gun Hill Road
Bronx, New York 10469

Program Name(s)

- Part 822 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C110711484

CERTIFICATE NUMBER

EFFECTIVE DATE: October 21, 2010

CONDITIONAL

EXPIRATION DATE: July 31, 2011