



July 3, 2012

UPS GROUND

Mr. Bruce C. Nisbet  
President/CEO  
Mental Health Services – Erie County South East  
Corporation V d/b/a Spectrum Human Services  
P.O. Box 631  
227 Thorn Avenue  
Orchard Park, New York 14127-0631

Re: Operating Certificate #140611522

Dear Mr. Nisbet:

Operating Certificate #140611522 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services to operate a Part 822-4 chemical dependence outpatient service at 1280 Main Street, Buffalo, effective July 1, 2012.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Bruce C. Nisbet  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
Patrick Morrison  
Holly Livingston  
Gail Keeler  
Rate Based Provider Unit (DOH)  
Philip Endress (Commissioner, Erie County Department of Mental Health)  
Robert O'Leary (Chairperson, Mental Health Services – Erie County South East  
Corporation V d/b/a Spectrum Human Services)  
Eva Horvath (MICA Coordinator, Mental Health Services – Erie County South  
East Corporation V d/b/a Spectrum Human Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	1280 Main Street
City and Zip Code:	Buffalo, 14209

Provider Number:	50240
Operating Certificate Number:	1406 11522
Recertification Review Number:	AL11170
Recertification Review Conducted:	6/12/2012 to: 6/14/2012

PRU Number(s) 5119 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.17	3.38		2 years
▶ Service Management	3.73	3.75		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
7384	1280 Main Street, Buffalo 14209	ML	17895	2/28/2012	CAP Completed	3 years

**Site Type Codes**

ML - Main Location  
AL - Additional Location  
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked  
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads  
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |   |          |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is two years

State of New York

**Office of Alcoholism and Substance Abuse Services**  
Pursuant to the provisions of Article 32 of the Mental Hygiene Law  
**Mental Health Services - Erie County South East  
Corporation V d/b/a Spectrum Human Services**

is hereby granted this

**CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1280 Main Street  
Buffalo, New York 14209-1912

Program Name(s)

New Alternatives

Service(s)

● Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

140611522

CERTIFICATE NUMBER

EFFECTIVE DATE: July 01, 2012

Renewed

EXPIRATION DATE: June 30, 2014