



Office of Alcoholism and Substance Abuse Services

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

September 21, 2015

UPS GROUND

Mr. William Baccaglino  
President and Chief Executive Officer  
The New York Foundling Hospital  
590 Avenue of the Americas, 14<sup>th</sup> Floor  
New York, New York 10011-2019

Re: Provider #22250  
Conditional Operating Certificate #C160811541  
PRU #6070

Dear Mr. Baccaglino:

Conditional Operating Certificate #C160811541 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Conditional Operating Certificate provides authorization for The New York Foundling Hospital to operate a Part 822-4 chemical dependence outpatient service, at 2090 Adam Clayton Powell, Jr. Boulevard, New York, effective September 1, 2015.

The one year term of this Conditional Operating Certificate is based on the scores identified on the attached Operating Certificate Renewal Compliance Summary Sheet.

A standard Operating Certificate may be issued upon determination by OASAS that The New York Foundling Hospital's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. William Baccaglino  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Ivan Garcia  
David Herbert  
Jane Gifford  
Sarita Wells  
John Van Horn  
Lynn DeFrusco  
Rate Based Provider Unit (DOH)  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Robert E. King, Jr. (Chairman, The New York Foundling Hospital)  
Pat Cremins (Clinical Director, The New York Foundling Hospital)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The New York Foundling Hospital
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	7th Floor
Street Address:	2090 Adam Clayton Powell, Jr. Boulevard
City and Zip Code:	New York, 10027

Provider Number:	22250
Operating Certificate Number:	1608 11541
Recertification Review Number:	NY15024
Recertification Review Conducted:	6/3/2015 to: 6/8/2015

PRU Number(s): 6070 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	1.83	2.26		1 year
▶ Service Management	3.73	3.74		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
6785	7th Floor, 2090 Adam Clayton Powell, Jr. Boulevard, New York 10027	ML	21144	6/3/2015	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). N/A

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

- Current Ratio                      Overall Ratio

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is one year

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## The New York Foundling Hospital

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

7th Floor  
2090 Adam Clayton Powell, Jr.  
Boulevard  
New York, New York 10027-4990

Program Name(s)

Pathway Center for Family Treatment

Service(s)

● Part 822-4 Outpatient Services



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C160811541

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: September 01, 2015  
EXPIRATION DATE: August 31, 2016