

May 29, 2013

UPS GROUND

Mr. Mark Hurwitz
President & Chief Executive Officer
Palladia, Inc.
2006 Madison Avenue
New York, New York 10035-1217

Re: Operating Certificate #150511551

Dear Mr. Hurwitz:

Operating Certificate #150511551 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Palladia, Inc. to operate a Part 819 chemical dependence community residential service at 170-172 East 107th Street, New York, effective June 1, 2013.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Palladia, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Mark Hurwitz

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May 29, 2013

cc w/encs.: Charles W. Monson

Steven Rabinowitz

Gideon Rabino

Janet Rucki

Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)

Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)

Luke Bergmann (NYC Dept. of Health and Mental Hygiene)

Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)

Sandra Stark (Chairperson, Board of Directors, Palladia, Inc.)

Collette Samman (Program Director, Palladia, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Palladia, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	
Street Address:	170-172 East 107th Street
City and Zip Code:	New York, 10029

Provider Number:	39050
Operating Certificate Number:	1505 11551
Recertification Review Number:	NY12197
Recertification Review Conducted:	3/6/2013 to: 3/11/2013

PRU Number(s) 7146 Capacity: 80

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.91	3.98		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
2356	170-172 East 107th Street, New York 10029	ML	19041	4/25/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input checked="" type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Palladia, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

170-172 East 107th Street
New York, New York 10029-3905

Program Name(s)

Esperanza Transitional Shelter

Service(s)

- Part 819 Community Residential - 80 beds



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

150511551

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: June 01, 2013

EXPIRATION DATE: May 31, 2015