



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

October 26, 2015

UPS GROUND

Mr. Peter Scaminaci
Senior Vice President & Regional Director
Phoenix Houses of New York, Inc.
50 Jay Street, 2nd Floor
Brooklyn, New York 11201

Re: Provider #16820
Operating Certificate #181011552
PRU #52030

Dear Mr. Scaminaci:

Operating Certificate #181011552 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Phoenix Houses of New York, Inc. to operate a Part 819 chemical dependence community residential service at 164 West 74th Street, New York, effective November 1, 2015.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Phoenix Houses of New York, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Peter Scaminaci
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cc w/encs.: Charles W. Monson
Steven Rabinowitz
Sheila Roach
Janet Rucki
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Wole C. Coaxum (Chairman of the Board, Phoenix Houses of New York, Inc.)
Paul Pitts (Program Director, Phoenix Houses of New York, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|----------------------------------|
| Provider Legal Name: | Phoenix Houses of New York, Inc. |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Community Residential |

| | |
|--------------------|----------------------|
| Building: | |
| Room/ Floor | 6th and 7th Floors |
| Street Address: | 164 West 74th Street |
| City and Zip Code: | New York, 10023 |

| | |
|-----------------------------------|-----------------------|
| Provider Number: | 16820 |
| Operating Certificate Number: | 1810 11552 |
| Recertification Review Number: | NY15054 |
| Recertification Review Conducted: | 6/5/2015 to: 6/9/2015 |

PRU Number(s): 52030 Capacity: 54

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.36 | 3.60 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| Site# | Address | Site Type | Ins # | Date | Deficiency | Renewal Term |
|-------|--|-----------|-------|----------|---------------|--------------|
| 1347 | 6th and 7th Floors, 164 West 74th Street, New York 10023 | ML | 21152 | 6/8/2015 | CAP Completed | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

| Current Ratio Current Assets to Current Liabilities | Total Ratio Total Assets to Total Liabilities |
|--|--|
| .90 to 1.0 = 3 year Certificate | 1.0 to 1.0 = 3 year Certificate |
| .60 to .89 = 2 year Certificate | .60 to .99 = 2 year Certificate |
| .40 to .59 = 1 year Conditional Certificate | .40 to .59 = 1 year Conditional Certificate |
| < .40 = 6 month Conditional Certificate | < .40 = 6 month Conditional Certificate |

Current Ratio 2.19 Overall Ratio 1.89 3 year

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Phoenix Houses of New York, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

6th and 7th Floors
164 West 74th Street
New York, New York 10023-2301

- Part 819 Community Residential - 54 beds



Charles W. Monson

181011552

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: November 01, 2015
EXPIRATION DATE: October 31, 2018