OASAS Improving Lives.
NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

September 9, 2014

UPS GROUND

Mr. Martin Teller
Chief Executive Officer
Finger Lakes Addictions Counseling
and Referral Agency, Inc.
28 East Main Street, 5th Floor
Clifton Springs, New York 14432-1231

Re: Provider #39040
Operating Certificate #170911569
PRU #51986

Dear Mr. Teller:

Operating Certificate #170911569 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Finger Lakes Addictions Counseling and Referral Agency, Inc. to operate a Part 822-4 chemical dependence outpatient service at 1 Keuka Business Park, Penn Yan, effective October 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

Finger Lakes Addictions Counseling and Referral Agency, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Charles W. Monson
Associate Commissioner
Quality Assurance and Performance Improvement

Enclosures

cc w/encs.: Janet L. Paloski
Kathy Murphy
Donna Stott
Holly Livingston
Lynn DeFruscio
Rate Based Provider Unit (DOH)
George Roets (Yates County)
Diane L. Johnston (Ontario County)
Todd Goddard (Board Chairperson, Finger Lakes Addictions Counseling and Referral Agency, Inc.)
Susan Wager (Clinical Manager, Finger Lakes Addictions Counseling and Referral Agency, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Finger Lakes Addictions Counseling and Referral Agency, Inc.
Active Parent Corporation:
Certified Program/Service Reviewed: Outpatient Services

Building: 1 Keuka Business Park
Room/ Floor
Street Address: 2258 Rt 54A
City and Zip Code: Penn Yan, 14527

Provider Number: 39040
Operating Certificate Number: 1709 11569
Recertification Review Number: AL14025
Recertification Review Conducted: 5/20/2014 to: 5/22/2014

PRU Number(s) 51986 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

	COMPLIANCE STATUS			
	Quality Indicator	Overall	Yes/No	Renewal Term
►Case Records	3.54	3.78		3 years
►Service Management	4.00	4.00		3 years
►Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
►Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
6242	1 Keuka Business Park, 2258 Rt 54A, Penn Yan 14527	ML	20538	8/20/2014	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked

Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads

Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

☐	Fiscal viability package not submitted.	6 months
☐	Data received insufficient to determine fiscal viability.	6 months
☐	Current financial position NOT viable; however, an acceptable financial recovery plan submitted.	2 years
☐	Current financial position viable; however, overall financial position NOT viable.	2 years
☑	Current and overall financial positions viable.	3 years
☐	Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).	n/a

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Finger Lakes Addictions Counseling and Referral Agency, Inc.

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
1 Keuka Business Park 2258 Rt 54A Penn Yan, New York 14527-8995		• Part 822-4 Outpatient Services

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170911569

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: October 01, 2014
EXPIRATION DATE: September 30, 2017