NEW YORK STATE | Office of Alcoholism and Substance Abuse Services

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S., L.M.S.W.
Commissioner

June 28, 2016

UPS GROUND

Mr. Robert Wood
Executive Director
Delta Recovery Services, LLC
307 Hacadam Rd
Sauquoit, New York 13456

Re: Provider #44680
Conditional Operating Certificate #C170511573
PRU #52044

Dear Mr. Wood:

Conditional Operating Certificate #C170511573 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Delta Recovery Services, LLC to operate a Part 819 supportive living service, located at 1639 Holland Avenue, Utica, with the certified site listed on the Operating Certificate Addendum, effective June 1, 2016.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

A standard Operating Certificate may be issued upon determination by OASAS that Delta Recovery Services, LLC's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

Delta Recovery Services, LLC is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet Paloski

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Tim Donovan
Rochelle Cardillo
Marjorie Catalano
Jane Gifford
Cathy Shippey
John Van Horn
Janet Rucki
Rate Based Provider Unit (DOH)
Robin O'Brien (Oneida County)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Delta Recovery Services, LLC
Active Parent Corporation:
Certified Program/Service Reviewed: Supportive Living

Building:
Room/ Floor
Street Address: 1639 Holland Avenue
City and Zip Code: Utica, 13501

Provider Number: 44680
Operating Certificate Number: 1705 11573
Recertification Review Number: AL15146
Recertification Review Conducted: 5/18/2016 to: 5/20/2016

PRU Number(s): 52044 Capacity: 15

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	Quality Indicator	Overall	Yes/No	Renewal Term
▶Case Records	2.36	2.93		1 year
▶Service Management	4.00	3.64		3 years
▶Facility	n/a	4.00		

▶Red Flag Deficiency(ies)
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

▶Quality Services Review n/a

FISCAL VIABILITY REVIEWS

Term

☐ Fiscal viability package not submitted.

☐ Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

☑ Current Ratio 1.56 Overall Ratio 2.33 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Delta Recovery Services, LLC

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)	
1639 Holland Avenue Utica, New York 13501-4713		• Part 819 Supportive Living - 15 beds	Supportive Living Addendum Attached

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C170511573

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: June 01, 2016
EXPIRATION DATE: May 31, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C170511573

Delta Recovery Services, LLC

CERTIFIED SUPPORTIVE LIVING LOCATION(S)

As of June 01, 2016

15 beds out of 15 adult beds authorized.

1st & 2nd Floors
1639 Holland Avenue
Utica, NY 13502
15 Adult Bed(s) Certified