



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.
Commissioner

January 15, 2016

UPS GROUND

Ms. Elaine Trumpetto
Executive Director
Council on Addiction Prevention and
Education of Dutchess County, Inc.
807 Route 52, Room 28
Fishkill, New York 12524

Re: Provider #34340
Conditional Operating Certificate #C161211592
PRU #90674

Dear Ms. Trumpetto:

Conditional Operating Certificate #C161211592 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Council on Addiction Prevention and Education of Dutchess County, Inc. to operate a Prevention Counseling service, at 807 Route 52, Fishkill, and including the certified site(s) listed on the Operating Certificate Addendum, effective January 1, 2016.

In addition, we have changed Drug-Free Prevention Certificates of Approval to Prevention Counseling Operating Certificates. Therefore, Certificate of Approval #100811592 is no longer valid.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Council on Addiction Prevention and Education of Dutchess County, Inc.'s deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

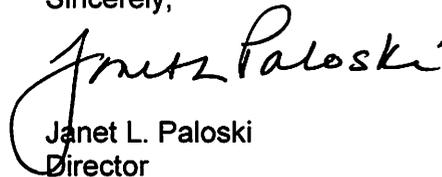
Council on Addiction Prevention and Education of Dutchess County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

Ms. Elaine Trumpetto
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The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Director

Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Tim Donovan
Kim Benshoff
Marjorie Catalano
Jane Gifford
Cathy Shippey
John Van Horn
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Margaret Hirst (Dutchess County)
Robert J. Ranieri, Jr. (Board President, Council on Addiction Prevention and
Education of Dutchess County, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Council on Addiction Prevention and Education of Dutchess County, Inc.
 Active Parent Corporation: _____
 Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building: _____
 Room/ Floor: Room 28
 Street Address: 807 Route 52
 City and Zip Code: Fishkill, 12524

Provider Number: 34340
 Operating Certificate Number: 1008 11592
 Recertification Review Number: NY15195
 Recertification Review Conducted: 12/8/2015 to: 12/10/2015

PRU Number(s): _____

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	1.90	2.31		1 year
▶ Service Management	4.00	3.90		3 years
▶ Facility	2.86	3.60		2 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
 Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels	
<u>Current Ratio</u>	<u>Total Ratio</u>
Current Assets to Current Liabilities	Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 8.06 Overall Ratio 8.33 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Council on Addiction Prevention and Education of Dutchess County, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Room 28
807 Route 52
Fishkill, New York 12524

- Prevention Counseling

Additional Location(s) Addendum Attached



Charles W. Monson

C161211592

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: January 01, 2016
EXPIRATION DATE: December 31, 2016

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C161211592
Council on Addiction Prevention and Education
of Dutchess County, Inc.

CERTIFIED ADDITIONAL LOCATION(S)

As of January 01, 2016

Site

Service(s)

Hyde Park Central Schools - FDR High School
154 South Cross Road
P.O. Box 2032
Hyde Park, New York 12538-2146

- Prevention Counseling

Wappingers Central School District - Van Wyck Jr.
10 Hillside Lake Road
Wappinger Falls, New York 12590-6411

- Prevention Counseling

Wappingers Central School District - Wappingers Jr. High School
30 Major MacDonald Way
Wappingers Falls, New York 12590-3748

- Prevention Counseling

Red Hook High School
103 West Market Street
Red Hook, New York 12571-1546

- Prevention Counseling

Site

Service(s)

Dover Union Free School District - Dover Jr. - Sr. High School
2368 Route 22
Dover Plains, New York 12522-6700

- Prevention Counseling

Wappingers Central School District - John Jay High School
2012 Route 52
Hopewell Junction, New York 12533-3507

- Prevention Counseling

Wappingers Central School District - Roy C. Ketchum High School
99 Meyers Corners Road
Wappingers Falls, New York 12590-3211

- Prevention Counseling

Haviland Middle School
23 Haviland Road
Hyde Park, New York 12538-2442

- Prevention Counseling

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C161211592

**Council on Addiction Prevention and Education
of Dutchess County, Inc.**

CERTIFIED ADDITIONAL LOCATION(S)

As of January 01, 2016

Linden Avenue Middle School
66 West Market Street
Red Hook, New York 12501-

- Prevention Counseling