



NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

October 23, 2013

UPS GROUND

Mr. Howard Meitiner
President and Chief Executive Officer
Phoenix Houses of New York, Inc.
164 West 74th Street, 74th Floor
New York, New York 10023-2301

Re: Provider #16820
Operating Certificate #161011633
PRU #2569

Dear Mr. Meitiner:

Operating Certificate #161011633 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Phoenix Houses of New York, Inc. to operate a Part 817 chemical dependence residential rehabilitation services for youth at 3151 Stoney Street, Shrub Oak, effective November 1, 2013.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Phoenix Houses of New York, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Howard Meitiner

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October 23, 2013

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Sheila Roach
Holly Livingston
Janet Rucki
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Wole C. Coaxum (Chairman of the Board of Directors, Phoenix Houses of New
York, Inc.)
Traci Donnelly (Senior VP NY Region, Phoenix Houses of New York, Inc.)
Jose Rosario (VP Managing Director, Phoenix Houses of New York, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Phoenix Houses of New York, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Residential Rehabilitation Services for Youth

Building:	West Wing - 1st Floor;
Room/ Floor	East Wing - 2nd , 3rd & 4th Floors
Street Address:	3151 Stoney Street
City and Zip Code:	Shrub Oak, 10588

Provider Number:	16820
Operating Certificate Number:	1610 11633
Recertification Review Number:	NY13060
Recertification Review Conducted:	8/26/2013 to: 8/30/2013

PRU Number(s) 2569 Capacity: 164

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.40	3.56		3 years
▶ Service Management	3.50	3.82		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
6705	West Wing - 1st Floor; Core - 1st & 5th Floors, East Wing - 2nd , 3rd & 4th Floors, 3151 Stoney Street, Shrub Oak 10588	ML	19283	7/2/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Phoenix Houses of New York, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

West Wing - 1st Floor;
Core - 1st & 5th Floors
East Wing - 2nd, 3rd & 4th
Floors
3151 Stoney Street
Shrub Oak, New York 10588-
0458

Program Name(s)

- Part 817 Residential Rehabilitation Services for Youth -
164 beds

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

161011633

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: November 01, 2013

EXPIRATION DATE: October 31, 2016