



**Office of Alcoholism and  
Substance Abuse Services**

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

October 26, 2016

UPS GROUND

Mr. Roger O. Spool  
Executive Director  
Child & Family Guidance Center  
Addiction Services, Inc.  
106 Vineyard Avenue  
Highland, New York 12528

Re: Provider #16230  
Operating Certificate #190911653  
PRU #52156

Dear Mr. Spool:

Operating Certificate #190911653 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Child & Family Guidance Center Addiction Services, Inc. to operate a Part 822 chemical dependence outpatient service at 300 Grant Avenue, Lake Katrine, effective October 1, 2016.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Child & Family Guidance Center Addiction Services, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson  
Tim Donovan  
Kim Benshoff  
Cathy Shippey  
Gail Keeler

Rate Based Provider Unit (DOH)  
Carol M. Smith, M.D. (Ulster County)  
Mirelva Colon (Program Director/Clinical Director,  
Child & Family Guidance Center Addiction  
Services, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Child & Family Guidance Center Addiction Services, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Northeast Center for Rehab and Traumatic Brain
Room/ Floor	1st Floor, Rooms 322 & 507
Street Address:	2nd Floor, Rooms 128, 219, 333, & 704
City and Zip Code:	Lake Katrine, 12449

Provider Number:	16230
Operating Certificate Number:	1909 11653
Recertification Review Number:	NY15228
Recertification Review Conducted:	9/7/2016 to: 9/9/2016

PRU Number(s): 52156 Capacity:

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.67	3.73		3 years
▶ Service Management	4.00	4.00		3 years
▶ Facility	n/a	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

**Fiscal Viability Levels**

<u>Current Ratio</u>	<u>Total Ratio</u>
<u>Current Assets to Current Liabilities</u>	<u>Total Assets to Total Liabilities</u>
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 1.39 Overall Ratio 2.06 3 year

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Child & Family Guidance Center Addiction Services, Inc.

is hereby granted this

### CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Northeast Center for Rehab and  
Traumatic Brain Injury  
1st Floor, Rooms 322 & 507  
2nd Floor, Rooms 128, 219, 333,  
& 704  
300 Grant Avenue  
Lake Katrine, New York 12449

- Part 822 Outpatient Services



*Charles W. Monson*

190911653

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER  
Renewed

EFFECTIVE DATE: October 01, 2016  
EXPIRATION DATE: September 30, 2019