



May 13, 2014

UPS GROUND

Mr. Christopher J. Taylor
Executive Director
Council on Alcohol and Substance Abuse
of Livingston County, Inc.
d/b/a Trinity of Chemung County
Wing B
4612 Millennium Drive
Geneseo, New York 14454-1197

Re: Provider #37130
Operating Certificate #170511692
PRU #52231

Dear Mr. Taylor:

Operating Certificate #170511692 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Council on Alcohol and Substance Abuse of Livingston County, Inc. d/b/a Trinity of Chemung County to operate a Part 822-4 chemical dependence outpatient service at 150 Lake Street, Elmira, effective June 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Council on Alcohol and Substance Abuse of Livingston County, Inc. d/b/a Trinity of Chemung County is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Christopher J. Taylor
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Pagano-Stott
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
Rodney Corry (Livingston County)
Brian Hart (Chemung County)
Christopher Rider (Chairperson, Council on Alcohol and Substance Abuse of
Livingston County, Inc. d/b/a Trinity of Chemung County)
Julie Charlanow (Clinical Director, Council on Alcohol and Substance Abuse of
Livingston County, Inc. d/b/a Trinity of Chemung County)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|---------------------------------------------------------------------------------------------------|
| Provider Legal Name: | Council on Alcohol and Substance Abuse of Livingston County, Inc. d/b/a Trinity of Chemung County |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Outpatient Services |

| | |
|--------------------|-----------------|
| Building: | |
| Room/ Floor | 2nd Floor |
| Street Address: | 150 Lake Street |
| City and Zip Code: | Elmira, 14901 |

| | |
|-----------------------------------|-------------------------|
| Provider Number: | 37130 |
| Operating Certificate Number: | 1705 11692 |
| Recertification Review Number: | AL13121 |
| Recertification Review Conducted: | 1/21/2014 to: 1/24/2014 |

PRU Number(s) 52231 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|----------------------------------------------|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.69 | 3.54 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| <u>Site#</u> | <u>Address</u> | <u>Site Type</u> | <u>Ins #</u> | <u>Date</u> | <u>Deficiency</u> | <u>Renewal Term</u> |
|--------------|------------------------------------------|------------------|--------------|-------------|-------------------|---------------------|
| 7420 | 2nd Floor, 150 Lake Street, Elmira 14901 | ML | 19469 | 8/27/2013 | None | 3 years |

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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FISCAL VIABILITY REVIEWS

- | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Council on Alcohol and Substance Abuse of Livingston
County, Inc. d/b/a Trinity of Chemung County

is hereby granted this

CHEMICAL DEPENDENCE
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

2nd Floor
150 Lake Street
Elmira, New York 14901-3401

Program Name(s)

- Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170511692

CERTIFICATE NUMBER

EFFECTIVE DATE: June 01, 2014

Renewed

EXPIRATION DATE: May 31, 2017