NEW YORK STATE | Office of Alcoholism and Substance Abuse Services

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S., L.M.S.W.
Commissioner

November 16, 2016

UPS GROUND

Mr. Daniel J. Shubsda
President/CEO
Northpointe Council, Inc.
800 Main Street, Suite 2A
Niagara Falls, New York 14301-1143

Re: Provider #38020
Operating Certificate #190911696
PRU #52232

Dear Mr. Shubsda:

Operating Certificate #190911696 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Northpointe Council, Inc. to operate a Part 822 opioid treatment program at 1001 11th Street, Niagara Falls, effective October 1, 2016.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

Northpointe Council, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures
cc w/encs.:

Charles W. Monson
Steven Rabinowitz
Donna Stott
Belinda Greenfield
Gregory James
Healthcare Financing
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Mike Ogborn (DOH)
Nichole Washington (CSAT)
Dale Shick (DEA)
Laura Kelemen (Niagara County)
Joseph Sbarbati (President of the Board of Directors, Northpointe Council, Inc.)
Kristi Yerger (Vice President of Treatment Services, Northpointe Council, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Northpointe Council, Inc.
Active Parent Corporation:
Certified Program/Service Reviewed: Opioid Treatment Program

Building:
Room/ Floor
Street Address: 1001 11th Street
City and Zip Code: Niagara Falls, 14301

Provider Number: 38020
Operating Certificate Number: 1909 11696
Recertification Review Number: AL15077
Recertification Review Conducted: 9/20/2016 to: 9/22/2016

PRU Number(s): 52232 Capacity: 85

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

	COMPLIANCE STATUS			
	Quality Indicator	Overall	Yes/No	Renewal Term
▶Case Records	3.86	3.31		3 years
▶Service Management	3.75	3.79		3 years
▶Facility	n/a	3.78		3 years

▶Red Flag Deficiency(ies)
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

▶Quality Services Review n/a

FISCAL VIABILITY REVIEWS

Term

☐ Fiscal viability package not submitted.

☐ Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

☑ Current Ratio 7.04 Overall Ratio 3.99 3 year

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Northpointe Council, Inc.

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
1001 11th Street Niagara Falls, New York 14301-1201		• Part 822 Opioid Treatment Program

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

190911696

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: October 01, 2016
EXPIRATION DATE: September 30, 2019