



NEW YORK STATE  
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES  
*Addiction Services for Prevention, Treatment, Recovery*

Governor  
Andrew M. Cuomo

Commissioner  
Arlene González-Sánchez, M.S., L.M.S.W.

October 23, 2013

UPS GROUND

Mr. Michael J. Spicer  
President and Chief Executive Officer  
St. Joseph's Hospital, Yonkers  
127 South Broadway  
Yonkers, New York 10701-4006

Re: Provider #81050  
Operating Certificate #161011737  
PRU #52563

Dear Mr. Spicer:

Operating Certificate #161011737 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for St. Joseph's Hospital, Yonkers to operate a Part 822-4 chemical dependence outpatient service at 92 Yonkers Avenue, Tuckahoe, effective November 1, 2013.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

St. Joseph's Hospital, Yonkers is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Michael J. Spicer  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
Deborah Czubak  
Holly Livingston  
Janet Rucki  
Rate Based Provider Unit (DOH)  
Melissa Staats (Westchester County)  
James J. Landy (Chairman Board of Trustees, St. Joseph's Hospital, Yonkers)  
Emma DiMarco (Program Director, St. Joseph's Hospital, Yonkers)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	St. Josephs Hospital, Yonkers
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	The Maxwell Institute Alcoholism Outpatient Clini
Room/ Floor	1st Floor
Street Address:	92 Yonkers Avenue
City and Zip Code:	Tuckahoe, 10707

Provider Number:	81050
Operating Certificate Number:	1610 11737
Recertification Review Number:	NY13065
Recertification Review Conducted:	7/9/2013 to: 7/12/2013

PRU Number(s) 52563 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.46	3.45		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7220	The Maxwell Institute Alcoholism Outpatient Clinic Program, 1st Floor, 92 Yonkers Avenue, Tuckahoe 10707	ML	19073	5/8/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |  |          |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.   | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.   | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.  | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.  | 2 years  |
| <input type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## St. Josephs Hospital, Yonkers

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

The Maxwell Institute  
Alcoholism Outpatient Clinic  
Program  
1st Floor  
92 Yonkers Avenue  
Tuckahoe, New York 10707-3911

Program Name(s)      Service(s)

- Part 822-4 Outpatient Services



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

161011737

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: November 01, 2013

EXPIRATION DATE: October 31, 2016