



**Office of Alcoholism and  
Substance Abuse Services**

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

October 12, 2016

UPS GROUND

Nicholas Kardaras, Ph.D.  
Executive Director  
Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton  
201 Fort Pond Boulevard  
East Hampton, New York 11937-4215

Re: Provider #47650  
*Conditional* Operating Certificate #C161011743  
PRU #52571

Dear Dr. Kardaras:

*Conditional* Operating Certificate #C161011743 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton to operate a Part 819 chemical dependence community residential service, at 26 Bull Run, East Hampton, effective May 1, 2016.

The six month term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Nicholas Kardaras, Ph.D.  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Antonette Whyte-Etere  
Stephanie Saporito  
Jane Gifford  
Cathy Shippey  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Ann Marie Csorny (Suffolk County)  
Joseph McKinsey (Founder/CEO, Safe Harbor Retreat, LLC d/b/a The Dunes –  
East Hampton)  
Carolyn Liot (Clinical Director, Safe Harbor Retreat, LLC d/b/a The Dunes – East  
Hampton)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Safe Harbor Retreat, LLC d/b/a The Dunes - East Hampton
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	
Street Address:	26 Bull Run
City and Zip Code:	East Hampton, 11937

Provider Number:	47650
Operating Certificate Number:	1610 11743
Recertification Review Number:	NY15240
Recertification Review Conducted:	4/26/2016 to: 4/29/2016

PRU Number(s): 52571 Capacity: 16

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.64	3.88		3 years
▶ Service Management	4.00	3.76		3 years
▶ Facility	n/a	3.80		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

<b>Fiscal Viability Levels</b>	
<b>Current Ratio</b> Current Assets to Current Liabilities	<b>Total Ratio</b> Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 4.76 Overall Ratio 0.22 6 months

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is 6 months

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Safe Harbor Retreat, LLC d/b/a The Dunes - East Hampton

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

26 Bull Run  
East Hampton, New York 11937-  
4615

- Part 819 Community Residential - 16 beds



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C161011743

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: May 01, 2016  
EXPIRATION DATE: October 31, 2016