



Office of Alcoholism and
Substance Abuse Services

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.
Commissioner

April 1, 2015

UPS GROUND

Mr. Bruce C. Nisbet
President/CEO
Mental Health Services – Erie County South East Corporation V
d/b/a Spectrum Human Services
PO Box 631
227 Thorn Avenue
Orchard Park, New York 14127-0631

Re: Provider #50240
Operating Certificate #170311751
PRU #52581

Dear Mr. Nisbet:

Operating Certificate #170311751 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services to operate a Part 822-4 chemical dependence outpatient service at 34 North Main Street, Warsaw, effective April 1, 2015.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Mental Health Services – Erie County South East Corporation V d/b/a Spectrum Human Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Bruce C. Nisbet
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April 1, 2015

cc w/encs.: Charles W. Monson
Kathy Murphy
Patrick Morrison
Sarita Wells
Janet Rucki
Rate Based Provider Unit (DOH)
Nancy Balbick (Wyoming County)
Robert O'Leary (Chairperson, Mental Health Services – Erie County South East
Corporation V d/b/a Spectrum Human Services)
Kristen Fisher (Program Manager, Mental Health Services – Erie County South
East Corporation V d/b/a Spectrum Human Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Mental Health Services - Erie County South East Corporation V d/b/a Spectrum Human Services
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	34 North Main Street
City and Zip Code:	Warsaw, 14569

Provider Number:	50240
Operating Certificate Number:	1703 11751
Recertification Review Number:	AL14109
Recertification Review Conducted:	3/17/2015 to: 3/20/2015

PRU Number(s): 52581 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.38	3.47		3 years
▶ Service Management	3.71	3.82		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
7229	34 North Main Street, Warsaw 14569	ML	20992	3/17/2015	Notable	2 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Mental Health Services - Erie County South East Corporation V
d/b/a Spectrum Human Services

is hereby granted this

CHEMICAL DEPENDENCE
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

34 North Main Street
Warsaw, New York 14569-1326

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170311751

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: April 01, 2015
EXPIRATION DATE: March 31, 2017

