



**Office of Alcoholism and  
Substance Abuse Services**

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

December 1, 2015

UPS GROUND

Ms. Betsy Rauschart  
Executive Director  
Open Arms, Incorporated  
57-59 Sharp Street  
Haverstraw, New York 10027-1521

Re: Provider #34360  
*Conditional* Operating Certificate #C161011771  
PRU #52613

Dear Ms. Rauschart:

*Conditional* Operating Certificate #C161011771 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Open Arms, Incorporated to operate a Part 819 community residential service, at 74 Hudson Avenue, Haverstraw, effective December 1, 2015.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Open Arms, Incorporated's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

Open Arms, Incorporated is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Ms. Betsy Rauschart  
Page 2 of 2  
December 1, 2015

cc w/encs.: Charles W. Monson  
Tim Donovan  
Kim Benshoff  
Marjorie Catalano  
Jane Gifford  
Cathy Shippey  
John Van Horn  
Janet Rucki  
Rate Based Provider Unit (DOH)  
Michael Leitzes (Rockland County)  
David J. McLaughlin (Board Chair, Open Arms, Incorporated)  
Marion E. Breland (Clinical Supervisor, Open Arms, Incorporated)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Open Arms, Incorporated
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	
Street Address:	74 Hudson Avenue
City and Zip Code:	Haverstraw, 10927

Provider Number:	34360
Operating Certificate Number:	1610 11771
Recertification Review Number:	NY15060
Recertification Review Conducted:	7/7/2015 to: 7/9/2015

PRU Number(s): 52613 Capacity: 12

<b>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.30	3.09		1 year
▶ Service Management	4.00	4.00		3 years
▶ Facility	2.86	3.27		2 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels	
Current Ratio	Total Ratio
Current Assets to Current Liabilities	Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 0.78 Overall Ratio 0.99 2 year

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is one year

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Open Arms, Incorporated

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

74 Hudson Avenue  
Haverstraw, New York 10927-  
1523

Program Name(s)

Service(s)

- Part 819 Community Residential - 12 beds



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C161011771

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: November 01, 2015  
EXPIRATION DATE: October 31, 2016