



December 16, 2014

UPS GROUND

Ms. Janine Dykeman
Executive Director
Mental Health Association in Fulton and Montgomery Counties, Inc.
307-309 Meadow Street
Johnstown, New York 12095

Re: Provider #24020
Operating Certificate #161211773
PRU #52620

Dear Ms. Dykeman:

Operating Certificate #161211773 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Mental Health Association in Fulton and Montgomery Counties, Inc. to operate a Part 819 chemical dependence community residential service at 26 Phillips Street, Amsterdam, effective January 1, 2015.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Mental Health Association in Fulton and Montgomery Counties, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Janine Dykeman
Page 2 of 2
December 16, 2014

cc w/encs.: Charles W. Monson
Kathy Murphy
Tim Donovan
Janet Rucki
Ernest J. Gagnon (Fulton County)
James Gumaer (Montgomery County)
Nancy Rad (Board of Directors, President, Mental Health Association in Fulton and
Montgomery Counties, Inc.)
Patricia Trombley (Program Director, Mental Health Association in Fulton and
Montgomery Counties, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Mental Health Association in Fulton and Montgomery Counties, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	
Street Address:	26 Phillips Street
City and Zip Code:	Amsterdam, 12010

Provider Number:	24020
Operating Certificate Number:	1612 11773
Recertification Review Number:	AL14073
Recertification Review Conducted:	7/22/2014 to: 7/24/2014

PRU Number(s): 52620 Capacity: 12

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.55	3.27		2 years
▶ Service Management	4.00	3.84		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7379	26 Phillips Street, Amsterdam 12010	ML	20107	4/24/2014	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM The term for the enclosed Operating Certificate is two years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
**Mental Health Association in Fulton and
Montgomery Counties, Inc.**

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

26 Phillips Street
Amsterdam, New York 12010-
1228

Program Name(s)

Lighthouse

Service(s)

- Part 819 Community Residential - 12 Adult beds and up to 6 beds for their children



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

161211773

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2015

EXPIRATION DATE: December 31, 2016