



**Office of Alcoholism and  
Substance Abuse Services**

**ANDREW M. CUOMO**  
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.**  
Commissioner

April 13, 2016

UPS GROUND

Ms. Laura Opelt  
Executive Director  
Catholic Charities of the Diocese of Rochester d/b/a  
Catholic Charities of Steuben County  
23 Liberty Street  
Bath, New York 14810

Re: Provider #44050  
Operating Certificate #190411779  
PRU #52637

Dear Ms. Opelt:

Operating Certificate #190411779 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben County to operate a Part 819 chemical dependence community residential service at 130 Rumsey Street, Bath, effective May 1, 2016.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben County is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Ms. Laura Opelt  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Donna Stott  
Lynn DeFruscio  
Henry Chapman (Steuben County)  
Michael Gabrielli (Chairman of the Board of Directors, Catholic Charities of the  
Diocese of Rochester d/b/a Catholic Charities of Steuben County)  
Kim Robards-Smith (Program Director, Catholic Charities of the Diocese of  
Rochester d/b/a Catholic Charities of Steuben County)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

|                                     |   |
|-------------------------------------|---|
| Provider Legal Name:                | Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben County |
| Active Parent Corporation:          |   |
| Certified Program/Service Reviewed: | Community Residential   |

|                    |                            |
|--------------------|----------------------------|
| Building:          | Basement, 1st & 2nd Floors |
| Room/ Floor        |                            |
| Street Address:    | 130 Rumsey Street          |
| City and Zip Code: | Bath, 14810                |

|                                   |                           |
|-----------------------------------|---------------------------|
| Provider Number:                  | 44050                     |
| Operating Certificate Number:     | 1904 11779                |
| Recertification Review Number:    | AL15131                   |
| Recertification Review Conducted: | 10/19/2015 to: 10/22/2015 |

PRU Number(s): 52637 Capacity: 18

| <b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b> |                           |  |
|--|---------------------------|--|
| <u>Compliance Scores</u>                                     | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
| ---  | Quality Services Review   | 9 months                                     |
| ---  | Red Flag Deficiency(ies)  | 6 months                                     |
| 0 - 1.75   | Noncompliance             | 6 months                                     |
| 1.76 - 2.50  | Minimal Compliance        | 1 year                                       |
| 2.51 - 3.25  | Partial Compliance        | 2 years                                      |
| 3.26 - 4.00  | Substantial Compliance    | 3 years                                      |

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

|   | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records  | 3.82                     | 3.89           |               | 3 years             |
| ▶ Service Management  | 4.00                     | 4.00           |               | 3 years             |
| ▶ Facility  | 3.50                     | 3.80           |               | 3 years             |
| ▶ Red Flag Deficiency(ies)<br>(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) |                          |                |               |                     |
| ▶ Quality Services Review   |                          |                |               | n/a                 |

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

| <b>Fiscal Viability Levels</b>               |   |
|--|---|
| <b>Current Ratio</b>                         | <b>Total Ratio</b>                          |
| <b>Current Assets to Current Liabilities</b> | <b>Total Assets to Total Liabilities</b>    |
| .90 to 1.0 = 3 year Certificate              | 1.0 to 1.0 = 3 year Certificate             |
| .60 to .89 = 2 year Certificate              | .60 to .99 = 2 year Certificate             |
| .40 to .59 = 1 year Conditional Certificate  | .40 to .59 = 1 year Conditional Certificate |
| < .40 = 6 month Conditional Certificate      | < .40 = 6 month Conditional Certificate     |

Current Ratio 2.8 Overall Ratio 2.9 3 year

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben County

is hereby granted this

### CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Basement, 1st & 2nd Floors  
130 Rumsey Street  
Bath, New York 14810-9602

- Part 819 Community Residential - 18 beds



*Charles W. Monson*

190411779

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER  
Renewed

EFFECTIVE DATE: May 01, 2016  
EXPIRATION DATE: April 30, 2019