



February 6, 2014

UPS GROUND

Peter Provet, Ph.D.  
President and Chief Executive Officer  
Odyssey House, Inc.  
120 Wall Street, 17<sup>th</sup> Floor  
New York, New York 10005

Re: Provider #19400  
Operating Certificate #170111793  
PRU #52659

Dear Dr. Provet:

Operating Certificate #170111793 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Odyssey House, Inc. to operate a Part 819 chemical dependence community residential service at 1328 Clinton Avenue, Bronx, effective February 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Odyssey House, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Peter Provet, Ph.D.  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Reginald Williams  
Lynn DeFruscio  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Richard O'Connor (Chairman, Odyssey House, Inc.)  
Justin Mitchell (VP, Director of Residential Services, Odyssey House, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Odyssey House, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	
Street Address:	1322, 1326 &
City and Zip Code:	Bronx, 10456

Provider Number:	19400
Operating Certificate Number:	1701 11793
Recertification Review Number:	NY13141
Recertification Review Conducted:	1/16/2014 to: 1/24/2014

PRU Number(s)    52659    Capacity:    57

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.73	3.78		3 years
▶ Service Management	4.00	3.94		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
6360	1328 Clinton Avenue, 1322, 1326 &, Bronx 10456	ML	19020	4/19/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |   |          |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Odyssey House, Inc.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1322, 1326 &  
1328 Clinton Avenue  
Bronx, New York 10456-2554

Program Name(s)

Service(s)

- Part 819 Community Residential - 57 Adult beds and up to 14 beds for their children



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

170111793

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: February 01, 2014

EXPIRATION DATE: January 31, 2017