



December 18, 2014

UPS GROUND

Ms. Anne D. Constantino
Chief Executive Officer
Horizon Village, Inc.
3020 Bailey Avenue
Buffalo, New York 14215

Re: Provider #25820
Operating Certificate #160911812
PRU #52662

Dear Ms. Constantino:

Operating Certificate #160911812 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Horizon Village, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation service at 6331 Inducon Drive East, Sanborn, effective October 1, 2014.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Horizon Village, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Anne D. Constantino
Page 2 of 2
December 18, 2014

cc w/encs.: Charles W. Monson
Kathy Murphy
Patrick Morrison
Gail Keeler
Deborah Goldman (Erie County)
Laura Kelemen (Niagara County)
Patrick Welch (Board Chair, Horizon Village, Inc.)
Chris Frigon (Program Director, Horizon Village, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|--------------------------------------|
| Provider Legal Name: | Horizon Village, Inc. |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Intensive Residential Rehabilitation |

| | |
|--------------------|-------------------------|
| Building: | Freedom Village |
| Room/ Floor: | |
| Street Address: | 6331 Inducon Drive East |
| City and Zip Code: | Sanborn, 14132 |

| | |
|-----------------------------------|-------------------------|
| Provider Number: | 25820 |
| Operating Certificate Number: | 1609 11812 |
| Recertification Review Number: | AL14035 |
| Recertification Review Conducted: | 9/16/2014 to: 9/18/2014 |

PRU Number(s): 52662 Capacity: 25

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 2.82 | 2.93 | | 2 years |
| ▶ Service Management | 3.83 | 3.88 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| Site# | Address | Site Type | Ins # | Date | Deficiency | Renewal Term |
|-------|---|-----------|-------|-----------|---------------|--------------|
| 6874 | Freedom Village, 6331 Inducon Drive East, Sanborn 14132 | ML | 19480 | 9/10/2013 | CAP Completed | 3 years |

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Horizon Village, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Freedom Village
6331 Inducon Drive East
Sanborn, New York 14132-9014

Program Name(s)

● Part 819 Intensive Residential Rehabilitation - 25 beds

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160911812

CERTIFICATE NUMBER

EFFECTIVE DATE: October 01, 2014

Renewed

EXPIRATION DATE: September 30, 2016