



December 4, 2014

UPS GROUND

Nicholas Kardaras, Ph.D.  
Executive Director  
Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton  
201 Fort Pond Boulevard  
East Hampton, New York 11937-4215

Re: Provider #47650  
Operating Certificate #161011815 [Corrected]  
PRU #52720

Dear Dr. Kardaras:

The enclosed corrected Operating Certificate replaces the Operating Certificate issued to Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton on October 17, 2014. The term of the Operating Certificate has been changed from one year to two years, based on revised recertification review compliance scores.

Safe Harbor Retreat, LLC d/b/a The Dunes – East Hampton is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site. Pursuant to 14 NYCRR §810.17, the previously issued Operating Certificate (original document) must be returned to OASAS' Bureau of Certification immediately.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosure

Dr. Nicholas Kardaras  
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cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Antonette Whyte-Etere  
David Herbert  
Stephanie Saporito  
Sarita Wells  
Janet Rucki  
Rate Based Provider Unit (DOH)  
Arthur Flescher (Suffolk County)  
Joseph McKinsey (President/Chair, Safe Harbor Retreat, LLC d/b/a The Dunes – East  
Hampton)  
Tanya M. Rosen (Director of Outpatient Services, Safe Harbor Retreat, LLC d/b/a  
The Dunes – East Hampton)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Safe Harbor Retreat, LLC d/b/a The Dunes - East Hampton
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	201 Fort Pond Boulevard
City and Zip Code:	East Hampton, 11937

Provider Number:	47650
Operating Certificate Number:	1610 11815
Recertification Review Number:	NY14010
Recertification Review Conducted:	10/8/2014 to: 10/10/2014

PRU Number(s): 52720 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.75	2.70		2 years
▶ Service Management	3.47	3.66		3 years
▶ Red Flag Deficiency(ies)				
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7523	201 Fort Pond Boulevard, East Hampton 11937	ML	19485	9/12/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input checked="" type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input type="checkbox"/>            | Current and overall financial positions viable.  | 3 years  |
| <input type="checkbox"/>            | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM** The term for the enclosed Operating Certificate is two years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Safe Harbor Retreat, LLC d/b/a The Dunes - East Hampton

is hereby granted this

### CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

201 Fort Pond Boulevard  
East Hampton, New York 11937-  
4215

Program Name(s)

The Dunes

Service(s)

- Part 822-4 Outpatient Services



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

161011815

CERTIFICATE NUMBER

EFFECTIVE DATE: November 01, 2014  
EXPIRATION DATE: October 31, 2016

Corrected