



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

October 21, 2016

UPS GROUND

Ms. Donna Colonna
President and Chief Executive Officer
Services for the Underserved, Inc. as Sponsor
Palladia, Inc. as Operator
10th Floor
305 Seventh Avenue
New York, New York 10001

Re: Provider #39050
Conditional Operating Certificate #C170911885
PRU #52857

Dear Ms. Colonna:

Conditional Operating Certificate #C170911885 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Services for the Underserved, Inc. as Sponsor, Palladia, Inc. as Operator to operate a Part 822 chemical dependence outpatient service at 177-185 East 122nd Street, New York, effective October 1, 2016.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Services for the Underserved, Inc. as Sponsor, Palladia, Inc. as Operator's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

Services for the Underserved, Inc. as Sponsor, Palladia, Inc. as Operator is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Donna Colonna
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cc w/encs.: Charles W. Monson
Steven Rabinowitz
Gideon Rabino
Stephanie Saporito
Jane Gifford
Cathy Shippey
Gail Keeler
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Gareth Old, Esq. (Board Chair, Services for the Underserved, Inc. as Sponsor,
Palladia, Inc. as Operator)
Migdalia Soto (Program Director, Services for the Underserved, Inc. as Sponsor,
Palladia, Inc. as Operator)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Services for the Underserved, Inc. as Sponsor Palladia, Inc. as Operator
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	2nd Floor
Street Address:	177-185 East 122nd Street
City and Zip Code:	New York, 10035

Provider Number:	39050
Operating Certificate Number:	1709 11885
Recertification Review Number:	NY15236
Recertification Review Conducted:	9/14/2016 to: 9/21/2016

PRU Number(s): 52857 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.15	2.68		1 year
▶ Service Management	3.23	3.57		2 years
▶ Facility	n/a	3.00		2 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 1.21 Overall Ratio 1.42 3 year

CERTIFICATE TERM

The term for the enclosed Operating Certificate is one year

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Services for the Underserved, Inc. as Sponsor Palladia, Inc. as Operator

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

2nd Floor
177-185 East 122nd Street
New York, New York 10035-2906

- Part 822 Outpatient Services



Charles W. Monson

C170911885

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: October 01, 2016
EXPIRATION DATE: September 30, 2017