



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

January 28, 2016

UPS GROUND

Mr. Charles Lai
Executive Director
Immigrant Social Services, Inc.
137 Henry Street
New York, New York 10002

Re: Provider #889
Operating Certificate #190111974
PRU #90744

Dear Mr. Lai:

Operating Certificate #190111974 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Immigrant Social Services, Inc. to operate a Prevention Counseling service at 137 Henry Street, New York, effective February 1, 2016.

In addition, we have changed Drug-Free Prevention Certificates of Approval to Prevention Counseling Operating Certificates. Therefore, Certificate of Approval #101000348 is no longer valid.

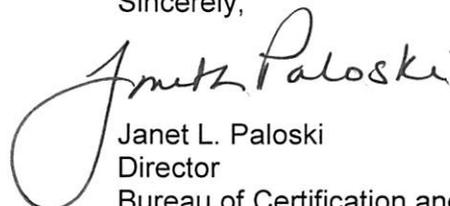
The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Immigrant Social Services, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Charles Lai
Page 2 of 2
January 28, 2016

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
Lynn DeFruscio
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Sherman Eng (Chairman, Immigrant Social Services, Inc.)
Wai Ming NG (Director of Preventive Services, Immigrant Social Services, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|--|
| Provider Legal Name: | <u>Immigrant Social Services, Inc.</u> |
| Active Parent Corporation: | _____ |
| Certified Program/Service Reviewed: | <u>Drug-Free Prevention Services (14 NYCRR 1030.3)</u> |

| | |
|--------------------|--------------------------------------|
| Building: | _____ |
| Room/ Floor | <u>Basement, 1st & 2nd Floor</u> |
| Street Address: | <u>137 Henry Street</u> |
| City and Zip Code: | <u>New York, 10002</u> |

| | |
|-----------------------------------|--------------------------------|
| Provider Number: | <u>889</u> |
| Operating Certificate Number: | <u>1010 0348</u> |
| Recertification Review Number: | <u>NY15196</u> |
| Recertification Review Conducted: | <u>12/2/2015 to: 12/4/2015</u> |

PRU Number(s): 90744 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.80 | 3.65 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Facility | 4.00 | 3.78 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels

Current Ratio
Current Assets to Current Liabilities

.90 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate

Total Ratio
Total Assets to Total Liabilities

1.0 to 1.0 = 3 year Certificate
.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate

Current Ratio 5.83 Overall Ratio 11.99 3 year

CERTIFICATE TERM

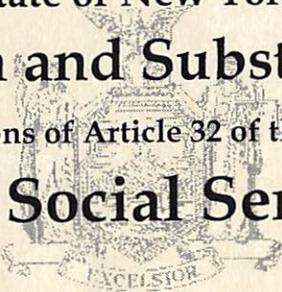
The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Immigrant Social Services, Inc.



is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Basement, 1st & 2nd Floor
137 Henry Street
New York, New York 10002-7134

- Prevention Counseling

Additional Location(s) Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

190111974

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: February 01, 2016
EXPIRATION DATE: January 31, 2019



State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 190111974

Immigrant Social Services, Inc.

CERTIFIED ADDITIONAL LOCATION(S)

As of February 01, 2016

Site

Service(s)

Site

Service(s)

P.S. 2
Room 101B
122 Henry Street
New York, New York 10002-7125

- Prevention Counseling