



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

March 23, 2016

UPS GROUND

Mr. William W. Bowman
Executive Director
Alcohol and Substance Abuse Council of
Jefferson County, Inc. d/b/a Pivot
Suite 320
167 Polk Street
Watertown, New York 13601

Re: Provider #36130
Operating Certificate #C161211991
PRU #90689

Dear Mr. Bowman:

Conditional Operating Certificate #C161211991 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate renews authorization for Alcohol and Substance Abuse Council of Jefferson County, Inc. d/b/a Pivot to operate a Prevention Counseling service at 167 Polk Street, Watertown, effective January 1, 2016.

In addition, we have changed Drug-Free Prevention Certificates of Approval to Prevention Counseling Operating Certificates. Therefore, Certificate of Approval # 100900736 is no longer valid.

The one year term of the *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Alcohol and Substance Abuse Council of Jefferson County, Inc. d/b/a Pivot's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

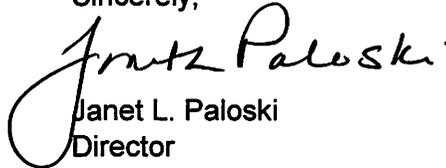
Alcohol and Substance Abuse Council of Jefferson County, Inc. d/b/a Pivot is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This *Conditional* Operating Certificate is to be prominently displayed at the service site.

Mr. William W. Bowman
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The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski
Director

Bureau of Certification and Systems Management

Enclosures

cc w/encs.:

Charles W. Monson
Tim Donovan
Rochelle Cardillo
Marjorie Catalano
Jane Gifford
Cathy Shippey
John Van Horn
Gail Keeler
Roger Ambrose (Jefferson County)
Tedd Stiles (President of the Board of Directors, Alcohol and Substance Abuse
Council of Jefferson County, Inc. d/b/a Pivot)
Christopher Paige (Deputy Executive Director, Alcohol and Substance Abuse
Council of Jefferson County, Inc. d/b/a Pivot)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Alcohol and Substance Abuse Council of Jefferson County, Inc. d/b/a Pivot
Active Parent Corporation:	
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Administrative Site Only:
Room/ Floor	Suite 320
Street Address:	167 Polk Street
City and Zip Code:	Watertown, 13601

Provider Number:	36130
Operating Certificate Number:	1009 0736
Recertification Review Number:	AL15101
Recertification Review Conducted:	12/16/2015 to: 12/18/2015

PRU Number(s): 90689 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.50	3.39		3 years
▶ Service Management	2.80	2.41		1 year
▶ Facility	n/a	n/a		
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels	
Current Ratio	Total Ratio
Current Assets to Current Liabilities	Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 0.89 Overall Ratio 1.51 2 year

CERTIFICATE TERM

The term for the enclosed Operating Certificate is one year

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Alcohol and Substance Abuse Council of Jefferson County, Inc. d/b/a Pivot

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

Administrative Site Only:
Suite 320
167 Polk Street
Watertown, New York 13601-
2766

- Prevention Counseling

Additional Location(s) Addendum Attached



Charles W. Monson

C161211991

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: January 01, 2016
EXPIRATION DATE: December 31, 2016

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C161211991

Alcohol and Substance Abuse Council of Jefferson County, Inc.

d/b/a Pivot

CERTIFIED ADDITIONAL LOCATION(S)

As of January 01, 2016

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Alexandria Bay Central School District 2nd Floor Room 307 34 Bolton Avenue Alexandria Bay, New York 13607-1603	• Prevention Counseling	General Brown Junior-High School Room 117 17643 Cemetery Road Dexter, New York 13634-3092	• Prevention Counseling
Carthage High School Guidance Office 36500 Route 26 Carthage, New York 13619-9506	• Prevention Counseling	Case Middle School 1st Floor Guidance Office 1237 Washington Street Watertown, New York 13601-4350	• Prevention Counseling
Watertown High School Level 3 Balcony Office 1335 Washington Street Watertown, New York 13601-4531	• Prevention Counseling	Ohio Elementary School 1537 Ohio Street Watertown, New York 13601-3030	• Prevention Counseling
North Elementary School 171 East Hoard Street Watertown, New York 13601-1515	• Prevention Counseling	Carthage Elementary School Beaver Lane Carthage, New York 13619-1594	• Prevention Counseling

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C161211991

Alcohol and Substance Abuse Council of Jefferson County, Inc.

d/b/a Pivot

CERTIFIED ADDITIONAL LOCATION(S)

As of January 01, 2016

West Carthage Elementary School
31568 Cole Road
Carthage, New York 13619-8524

• Prevention Counseling

LaFargeville Central School
20414 Sunrise Avenue
LaFargeville, New York 13656-

• Prevention Counseling