



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.
Commissioner

April 7, 2016

UPS GROUND

Kenneth L. Davis, M.D.
Chief Executive Officer/President
The Mount Sinai Hospital
Box 1220
1425 Madison Avenue
New York, New York 10029

Re: Provider #81510
Conditional Operating Certificate #C170211998
PRU #90816

Dear Dr. Davis:

Conditional Operating Certificate #C170211998 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate renews authorization for The Mount Sinai Hospital to operate a Prevention Counseling service at 312-320 East 94th Street, New York, effective March 1, 2016.

In addition, we have changed Drug-Free Prevention Certificates of Approval to Prevention Counseling Operating Certificates. Therefore, Certificate of Approval #100500532 is no longer valid.

The one year term of the *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that The Mount Sinai Hospital's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

The Mount Sinai Hospital is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This *Conditional* Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Dr. Kenneth L. Davis
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cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
Stephanie Saporito
Jane Gifford
Cathy Shippey
John Van Horn
Janet Rucki
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Ken Peake (Program Director//Assistant Director of AHC, The Mount Sinai Hospital)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	<u>The Mount Sinai Hospital</u>
Active Parent Corporation:	_____
Certified Program/Service Reviewed:	<u>Drug-Free Prevention Services (14 NYCRR 1030.3)</u>

Building:	_____
Room/ Floor	<u>1st & 2nd Floors</u>
Street Address:	<u>312-320 East 94th Street</u>
City and Zip Code:	<u>New York, 10128</u>

Provider Number:	<u>81510</u>
Operating Certificate Number:	<u>1005 0532</u>
Recertification Review Number:	<u>NY15190</u>
Recertification Review Conducted:	<u>12/1/2015 to: 12/15/2015</u>

PRU Number(s): 90816 Capacity: _____

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	<u>2.30</u>	<u>2.46</u>		<u>1 year</u>
▶ Service Management	<u>4.00</u>	<u>3.00</u>		<u>2 years</u>
▶ Facility	<u>2.29</u>	<u>2.60</u>		<u>1 year</u>
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				<u>n/a</u>

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). N/A

Fiscal Viability Levels	
<u>Current Ratio</u>	<u>Total Ratio</u>
<u>Current Assets to Current Liabilities</u>	<u>Total Assets to Total Liabilities</u>
<u>.90 to 1.0 = 3 year Certificate</u>	<u>1.0 to 1.0 = 3 year Certificate</u>
<u>.60 to .89 = 2 year Certificate</u>	<u>.60 to .99 = 2 year Certificate</u>
<u>.40 to .59 = 1 year Conditional Certificate</u>	<u>.40 to .59 = 1 year Conditional Certificate</u>
<u>< .40 = 6 month Conditional Certificate</u>	<u>< .40 = 6 month Conditional Certificate</u>

- Current Ratio Overall Ratio

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
The Mount Sinai Hospital

is hereby granted this

CHEMICAL DEPENDENCE
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st & 2nd Floors
312-320 East 94th Street
New York, New York 10128-5604

Program Name(s)

Adolescent Health Center, The
Community Youth Program

Service(s)

- Prevention Counseling



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C170211998

CERTIFICATE NUMBER

CONDITIONAL

EFFECTIVE DATE: March 01, 2016

EXPIRATION DATE: February 28, 2017