



**Office of Alcoholism and  
Substance Abuse Services**

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

August 19, 2016

UPS GROUND

Mr. Douglas Terbeek  
Executive Director  
Substance Abuse Prevention Team of Essex County, Inc.  
173 Lord Howe Street  
Ticonderoga, New York 12883

Re: Provider #2185  
*Conditional* Operating Certificate #C170312007  
PRU #90642

Dear Mr. Terbeek:

*Conditional* Operating Certificate #C170312007 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate renews authorization for Substance Abuse Prevention Team of Essex County, Inc. to operate a Prevention Counseling service at 173 Lord Howe Street, effective April 1, 2016.

In addition, we have changed Drug-Free Prevention Certificates of Approval to Prevention Counseling Operating Certificates. Therefore, Certificate of Approval #120500652 is no longer valid.

The one year term of the *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that Substance Abuse Prevention Team of Essex County, Inc.'s deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

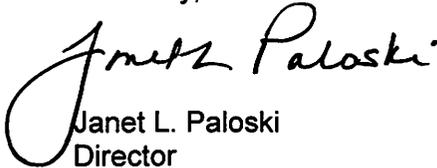
Substance Abuse Prevention Team of Essex County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Mr. Douglas Terbeek  
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This *Conditional* Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

A handwritten signature in black ink that reads "Janet L. Paloski". The signature is written in a cursive style with a large, looping initial "J".

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson  
Tim Donovan  
Deb Czubak  
Marjorie Catalano  
Jane Gifford  
Cathy Shippey  
Janet Rucki  
Steven Valley (Essex County)  
Michael Diskin (Board President, Substance Abuse Prevention Team of Essex County,  
Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Substance Abuse Prevention Team of Essex County, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	
Street Address:	173 Lord Howe Street
City and Zip Code:	Ticonderoga, 12883

Provider Number:	2185
Operating Certificate Number:	1205 0652
Recertification Review Number:	AL15119
Recertification Review Conducted:	3/8/2016 to: 3/11/2016

PRU Number(s):

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.10	1.96		1 year
▶ Service Management	2.80	2.69		2 years
▶ Facility	n/a	n/a		
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

<b>Fiscal Viability Levels</b>	
<b>Current Ratio</b>	<b>Total Ratio</b>
<b>Current Assets to Current Liabilities</b>	<b>Total Assets to Total Liabilities</b>
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 2.46 Overall Ratio 3.21 3 year

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is one year

State of New York  
Office of Alcoholism and Substance Abuse Services  
Pursuant to the provisions of Article 32 of the Mental Hygiene Law  
Substance Abuse Prevention Team of Essex County, Inc.



is hereby granted this

CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

173 Lord Howe Street  
Ticonderoga, New York 12883-  
1221

Program Name(s)

- Prevention Counseling

Service(s)

Additional Location(s) Addendum Attached



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C170312007

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: April 01, 2016  
EXPIRATION DATE: March 31, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER C170312007

# Substance Abuse Prevention Team of Essex County, Inc.

## CERTIFIED ADDITIONAL LOCATION(S)

As of April 01, 2016

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Willsboro Central School 1st Floor Room A116 14-20 Farrell Road Willsboro, New York 12996-0180	<ul style="list-style-type: none"><li>• Prevention Counseling</li></ul>	Lake Placid Junior/Senior High School 2nd Floor Room 214 250 Main Street Lake Placid, New York 12946-3323	<ul style="list-style-type: none"><li>• Prevention Counseling</li></ul>
Moriah Central School 1st Floor Office Space Adj. to Room 166 Plank Road Port Henry, New York 12974-1607	<ul style="list-style-type: none"><li>• Prevention Counseling</li></ul>		