



CASAC and CPP/CPS Gambling Specialty Designation Request Form

CASACs and CPPs/CPSs in good standing who meet specific work experience (CASAC applicants) and training requirements (CASAC and CPP/CPS applicants) can obtain a Gambling Specialty Designation by completing and submitting the attached CASAC and CPP/CPS Gambling Specialty Designation Request Form to the OASAS Credentialing Unit.

To obtain a CASAC Gambling Specialty Designation, you must:

- be a CASAC in goodstanding;
• document a minimum of 100 hours of supervised experience as a provider of treatment for problem gamblers and their families, which included gambling assessment and diagnosis; incorporating specific gambling goals into treatment plans; financial education and planning; and relapse prevention and discharge planning which addresses gambling issues.

Of the 100 hours, a minimum of 30 hours must have been devoted to practice in each of the following three areas: individual, family and group counseling; crisis intervention; and financial assessments.

- document completion of a 60-hour Problem Gambling Core Curriculum -- Treatment Track which addressed the following areas:
- Problem Gambling Knowledge and Education (15 hours)
- Skills for Screening, Assessment and Diagnosis (15 hours)
- Specialized Clinical Treatment and Case Management (20 hours)
- Relapse Prevention and Discharge Planning (10 hours)

Work experience must have been supervised by an individual who:

- holds a current Stand-Alone Gambling Credential or CASAC certificate with a Gambling Specialty Designation;
• attests to having worked for a minimum of three years as a provider of alcoholism and substance abuse treatment or mental health services. This work experience must include/have included: gambling assessment and diagnosis; incorporating specific gambling goals into treatment plans; financial education and planning and relapse prevention; and discharge planning which addresses gambling issues; and
• attests to having at least one year of clinical supervisory experience.

OR

- attests that he/she is a Qualified Health Professional (as defined in the Part 800 Chemical Dependence Regulations);
• attests to having worked for a minimum of three years as a provider of alcoholism and substance abuse treatment or mental health services. This work experience must include/have included: gambling assessment and diagnosis; incorporating specific gambling goals into treatment plans; financial education and planning and relapse prevention; and discharge planning which addresses gambling issues;
• attests to having at least one year of clinical supervisory experience; and
• attests to having completed 15 clock hours of gambling training which addressed the following areas:
- screening, assessment, diagnosis and diagnostic tools (e.g., South Oaks Gambling Screen; DSM-IV Pathological Diagnosis; Lie-Bet; Gambler's Anonymous and GAM-ANON 20 questions tool; or other appropriate screening instrument as defined by OASAS); and
- specialized treatment indicators for gambling (i.e., financial, legal, self-help, crisis).

To obtain a CPP/CPS Gambling Specialty Designation, you must:

- be a CPP/CPS in good standing; and
• document completion of a 30-hour Problem Gambling Core Curriculum -- Prevention Track which addressed the following areas:
- Problem Gambling Knowledge and Education (10 hours)
- Public Policy and Social Impact of Problem Gambling (10 hours)
- Gambling Specific Prevention Strategies (10 hours)

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If you are able to document that you have satisfied the requirements outlined, your request will be approved and your CASAC or CPP/CPS certificate will be reissued with the Specialty designated. The Specialty Designation will not affect the effective or expiration date of your current credential and will be renewable as part of the standard renewal process during your next credentialed period (see requirements for renewal presented below).

If your Request Form is determined to be incomplete, you will be mailed a Review Summary identifying any deficiencies. Identified deficiencies must be satisfactorily addressed prior to the expiration date of your credential. If you are unable to address the deficiencies by the expiration of your credential, your request will not be considered until your credential is renewed.

Complete the attached form and submit it, along with all required documentation, with a one-time **non-refundable** \$25 Specialty Designation Fee in the form of a check or money order made payable to NYS OASAS. **Personal checks will be accepted, however, please be aware that submission of a certified check or money order will expedite the processing of your Request Form. Request Forms must be addressed to:**

NYS OASAS
Attn: Credentialing Unit
1450 Western Avenue
Albany, New York 12203-3526

RENEWAL REQUIREMENTS

In order to renew your Specialty Designation, you will be required to document completion of 10 clock hours of continuing professional education specific to problem gambling **in addition to** the continuing professional education required to renew your CASAC or CPP/CPS certificate.

The fee associated with the renewal of your CASAC or CPP/CPS **will not** increase as a result of holding a Specialty Designation.

The Specialty Designation will not affect the effective or expiration date of your current credential.

EDUCATION AND TRAINING COMPLIANCE PROTOCOLS

60-HOUR PROBLEM GAMBLING CORE CURRICULUM -- TREATMENT TRACK

The 60-hour Problem Gambling Core Curriculum -- Treatment Track includes course work that provides knowledge specific to the assessment and treatment of problem gambling; a broad overview of the problem gambling field, including treatment approaches and modalities; theoretical models applied to understanding problem gambling; trends in gambling and specific treatment for problem gambling (e.g., physical, psychological, social, cognitive/mental, pharmacological, etc.); and the mutual aid aspects of gambling and problem gambling, addiction and treatment. Examples include:

- A. Problem Gambling Knowledge and Education (15 hours) --** Course work that provides knowledge of gambling and problem gambling; problem gambling prevalence rates statewide and nationally; five types of gamblers; profiles of problem gamblers: men vs. women; escape vs. skill-based gambler distinctions; progression for problem gamblers and spouses; phases of problem gambling; warning signs of problem gambling; review of problem gambling diagnosis from DSM-IV; basic review of available services throughout New York State including treatment, prevention and self-help; basic knowledge of gambling venues; and special populations and their gambling practices.
- B. Skills for Screening, Assessment and Diagnosis (15 hours) --**Course work that provides knowledge of comprehensive assessment and diagnosis of problem gambling; review and scoring of most widely used screening tools including:
 - ◆ South Oaks Gambling Screen (SOGS)
 - ◆ South Oaks Gambling Screen for Adolescents (SOGS-R)
 - ◆ DSM-IV Pathological Diagnosis
 - ◆ Lie-Bet
 - ◆ Gambler's Anonymous and GAM-ANON 20 question tool
- C. Specialized Clinical Treatment, Treatment Planning and Case Management (20 hours) --** Course work that provides knowledge of legal issues related to gambling; financial issues: budgeting, restitution; crisis intervention specific to gamblers (including suicidal assessments and referrals); theoretical models of problem gambling treatment, including evidence based-practices and examples of interventions; addressing co-occurring disorders that are identified along with problem gambling; and ethical considerations in the clinical treatment of problem gamblers.
- D. Relapse Prevention and Discharge Planning (10 hours) --** Course work that provides knowledge of relapse triggers and relapse cycle for problem gamblers; incorporating spirituality into gambling-specific treatment; and orientation to Gambler's Anonymous and other support services.

30-HOUR PROBLEM GAMBLING CORE CURRICULUM -- PREVENTION TRACK

The 30-hour Problem Gambling Core Curriculum -- Prevention Track includes course work that provides a broad overview of the problem gambling field and that provides knowledge to enhance skills and abilities to perform as a problem gambling prevention practitioner. Examples include:

- A. Problem Gambling Knowledge and Education (10 hours) --** Course work that provides the history of gambling and problem gambling; definitions of gambling and problem gambling; problem gambling prevalence rates in, statewide and nationally; five types of gamblers; profiles of problem gamblers: men vs. women; escape vs. skill-based gambler distinctions; progression for problem gamblers and spouses; phases of problem gambling; warning signs of problem gambling; review of problem gambling diagnosis from DSM-IV; basic review of available services throughout NYS including treatment, prevention and self-help; basic Knowledge of Gambling Venues; and special populations and their gambling practices.
- B. Public Policy and Social Impact of Problem Gambling (10 hours) --** Course work that provides knowledge of the public perception of gambling; spectrum of gambling behaviors, public health risks (youth through aging populations; prevention risk and protective factors for gambling; and special populations (women, men, adolescents, seniors, minorities).
- C. Gambling Specific Prevention Strategies (10 hours) --** Course work that provides knowledge

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focused specifically on problem gambling prevention (e.g., factors which affect gambling-related behavior; evidence-based prevention approaches; and promising gambling prevention programs) and on community gambling assessment tools.

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PART B - WORK EXPERIENCE AND TRAINING RECORD

****TO BE COMPLETED BY APPLICANT** (PLEASE PRINT)**

APPLICANT CONSENT TO RELEASE INFORMATION

APPLICANT NAME	SOCIAL SECURITY NO.
BY MY SIGNATURE BELOW, I AM AUTHORIZING THE PROVIDER(S)/EMPLOYER(S) AND/OR QUALIFIED HEALTH PROFESSIONAL IDENTIFIED BELOW TO PROVIDE INFORMATION AND DOCUMENTATION TO THE NEW YORK STATE OFFICE OF ADDICTION SERVICES AND SUPPORTS (OASAS).	
APPLICANT SIGNATURE	DATE

WORK EXPERIENCE (CASAC APPLICANTS ONLY)

PROVIDER/EMPLOYER NAME AND ADDRESS		
PROVIDER/EMPLOYER NAME AND ADDRESS		
PROVIDER/EMPLOYER NAME AND ADDRESS		
DATES OF EMPLOYMENT DURING WHICH TREATMENT FOR PROBLEM GAMBLERS AND THEIR FAMILIES WAS PROVIDED:	TOTAL SUPERVISED EXPERIENCE AS A PROVIDER OF TREATMENT FOR PROBLEM GAMBLERS AND THEIR FAMILIES (NOTE: A MINIMUM OF 30 HOURS MUST HAVE BEEN DEVOTED TO PRACTICE IN EACH OF THE FOLLOWING THREE AREAS: INDIVIDUAL, FAMILY AND GROUP COUNSELING; CRISIS INTERVENTION; AND FINANCIAL ASSESSMENTS.)	TOTAL HOURS

SUPERVISOR (AS DEFINED BELOW) CERTIFICATION (PLEASE PRINT)

NOTE TO SUPERVISOR: PLEASE COMPLETE THIS SECTION OF THE WORK EXPERIENCE AND TRAINING VERIFICATION FORM AND RETURN IT, ALONG WITH DOCUMENTATION TO VERIFY YOUR STATUS AS A QUALIFIED HEALTH PROFESSIONAL, TO THE APPLICANT.

IF YOU HAVE QUESTIONS RELATED TO THIS FORM, PLEASE CONTACT THE OASAS CREDENTIALING UNIT AT 1-800-482-9564 (Option #1).

I HAVE REVIEWED OUR RECORDS AND CERTIFY THAT THE INFORMATION PROVIDED ON THE WORK EXPERIENCE OF THE ABOVE-NAMED APPLICANT IS TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF. BY MY SIGNATURE ON THIS FORM, I ATTEST THAT:

I HOLD A CURRENT STAND-ALONE GAMBLING CREDENTIAL OR CASAC CERTIFICATE WITH A GAMBLING SPECIALTY DESIGNATION (ATTACH A COPY OF APPLICABLE CERTIFICATE); AND HAVE WORKED FOR A MINIMUM OF THREE YEARS AS A PROVIDER OF ALCOHOLISM AND SUBSTANCE ABUSE TREATMENT OR MENTAL HEALTH SERVICES. **THIS WORK EXPERIENCE INCLUDES/INCLUDED: GAMBLING ASSESSMENT AND DIAGNOSIS; INCORPORATING SPECIFIC GAMBLING GOALS INTO TREATMENT PLANS; FINANCIAL EDUCATION AND PLANNING AND RELAPSE PREVENTION; AND DISCHARGE PLANNING WHICH ADDRESSED(ES) GAMBLING ISSUES. I HAVE AT LEAST ONE YEAR OF CLINICAL SUPERVISORY EXPERIENCE.**

OR

I AM A QUALIFIED HEALTH PROFESSIONAL AS DEFINED IN THE PART 800 CHEMICAL DEPENDENCE REGULATIONS (ATTACH A COPY OF YOUR QUALIFIED HEALTH PROFESSIONAL CERTIFICATE OR LICENSE); AND HAVE WORKED FOR A MINIMUM OF THREE YEARS AS A PROVIDER OF ALCOHOLISM AND SUBSTANCE ABUSE TREATMENT OR MENTAL HEALTH SERVICES. **THIS WORK EXPERIENCE INCLUDES/INCLUDED: GAMBLING ASSESSMENT AND DIAGNOSIS; INCORPORATING SPECIFIC GAMBLING GOALS INTO TREATMENT PLANS; FINANCIAL EDUCATION AND PLANNING AND RELAPSE PREVENTION; AND DISCHARGE PLANNING WHICH ADDRESSED(ES) GAMBLING ISSUES; AND HAVE COMPLETED 15 CLOCK HOURS OF GAMBLING TRAINING WHICH ADDRESSED THE FOLLOWING AREAS: SCREENING; ASSESSMENT; DIAGNOSIS AND DIAGNOSTIC TOOLS (E.G., SOUTH OAKS GAMBLING SCREEN; DSM-IV PATHOLOGICAL DIAGNOSIS; LIE-BET; GAMBLER'S ANONYMOUS AND GAM-ANON 20 QUESTIONS TOOL; OR OTHER APPROPRIATE SCREENING INSTRUMENT AS DEFINED BY OASAS); AND SPECIALIZED TREATMENT INDICATORS FOR GAMBLING (I.E., FINANCIAL, LEGAL, SELF-HELP, CRISIS). I HAVE AT LEAST ONE YEAR OF CLINICAL SUPERVISORY EXPERIENCE.**

NAME OF APPLICANT SUPERVISOR	JOB TITLE
SIGNATURE OF APPLICANT SUPERVISOR	WORK TELEPHONE NO. DATE

TRAINING (CASAC AND CPP/CPS APPLICANTS)

CASAC	CPP/CPS
DATE(S) OF COMPLETION OF 60-HOUR PROBLEM GAMBLING CORE CURRICULUM -- TREATMENT TRACK OR INDIVIDUAL TRAININGS WHICH MEET THE OUTLINED REQUIREMENTS (ATTACH SUPPORTING DOCUMENTATION):	DATE OF COMPLETION OF 30-HOUR PROBLEM GAMBLING CORE CURRICULUM -- PREVENTION TRACK OR INDIVIDUAL TRAININGS WHICH MEET THE OUTLINED REQUIREMENTS (ATTACH SUPPORTING DOCUMENTATION):

CREDENTIALLED ALCOHOLISM AND SUBSTANCE ABUSE COUNSELOR (CASAC)

CANON OF ETHICAL PRINCIPLES

The CASAC must:

- a) Recognize that the profession is founded on national standards of competence which promote the best interest of society, of the patient, of the counselor and of the profession as a whole.
- b) Espouse objectivity and integrity; maintain the highest standards in the services offered; respect the values, attitudes and opinions of others; and provide services only in an appropriate professional relationship.
- c) Not discriminate in work-related activities based on race, religion, age, gender, disabilities, ethnicity, national origins, sexual orientation, economic condition or any other basis proscribed by law.
- d) Recognize the need for ongoing education to maintain current competence and to improve expertise and skills.
- e) Uphold the legal and accepted moral codes which pertain to professional conduct.
- f) Respect the integrity and protect the welfare of the person or group with whom the counselor is working.
- g) Embrace, as a primary obligation, the duty of protecting the privacy of patients and must not disclose confidential information acquired in teaching, practice, research or investigation and maintain the confidentiality of records they control.
- h) Inform the prospective patient of the important aspects of the nature of services to be provided and the client/counselor relationship.
- i) Not engage in any sexual activity with patients or their significant others.
- j) Treat colleagues and other professionals with respect, courtesy and fairness and cooperate in order to serve the best interests of their patients.
- k) Not knowingly engage in behavior that is harassing or demeaning, including, but not limited to, sexual harassment.
- l) Not exploit patients or others over whom they have a position of authority.
- m) Acknowledge the limits of present knowledge in public statements concerning alcoholism and substance abuse, as well as report fairly and accurately the appropriate information and acknowledge and document materials and techniques used.
- n) Not participate in the filing of ethics complaints that are frivolous or have a purpose other than to protect the public.
- o) Assure that financial practices are in accord with professional standards that safeguard the best interests of the patient, the counselor and the profession.
- p) Strive to inform the public, through civic and professional participation in community affairs, of the effects of alcoholism and substance abuse and adopt a personal and professional stance which promotes the well-being of all human beings.
- q) Assign credit to all who have contributed to the published material and for the work upon which publication is based.

(Adapted from the National Association of Alcoholism and Drug Abuse Counselors
"Ethical Standards of Alcoholism and Drug Abuse Counselors")

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CREDENTIALLED PREVENTION PROFESSIONAL (CPP)/CREDENTIALLED PREVENTION SPECIALIST (CPS)

CANON OF ETHICAL PRINCIPLES

The CPP and CPS must:

- a) Recognize that the profession is founded on national standards of competence which promote the best interest of society, the service recipient, the professional and the profession as a whole.
- b) Do no harm to service recipients. Practices shall be respectful and non-exploitative. Services shall protect the recipients from harm and the professional and the profession from censure.
- c) Maintain an objective, non-possessive relationship with those they serve and shall not exploit them sexually, financially or emotionally.
- d) Not promote personal gain or profit.
- e) Not discriminate against service recipients or colleagues based on race, religion, national origin, sex, age, sexual orientation, economic condition or physical or mental disability. The CPP and CPS shall broaden his/her understanding and acceptance of cultural and individual differences and, in so doing, render services and provide information sensitive to those differences.
- f) Observe the profession's technical and ethical standards, strive continually to improve personal competence and quality of service delivery and discharge professional responsibility to the best of his/her ability.
- g) Be diligent in discharging responsibilities by rendering services carefully and promptly by being thorough and by observing applicable technical and ethical standards.
- h) Adequately plan and supervise any professional activity for which he/she is responsible.
- i) Recognize limitations and boundaries of competencies and not use techniques or offer services outside of his/her own competencies. The CPP and CPS is responsible for assessing the adequacy of his/her own competence for the responsibility to be assumed.
- j) Report to the appropriate authorities any unethical conduct or practice on the part of any agency or individual providing prevention services when aware of such conduct or practice. The CPP and CPS must not participate in the filing of ethics complaints that are frivolous or have a purpose other than to protect the public.
- k) Perform all professional responsibilities with the highest sense of integrity in order to maintain and broaden public confidence. Integrity can accommodate the inadvertent error and the honest difference of opinion. It cannot accommodate the deceit or subordination of principle.
- l) Not subordinate services and the public trust for personal gain and advantage. Services, including referrals, shall be based in the best interest of the recipient(s). All information shall be presented fairly and accurately. The CPP and CPS shall document and assign credit to all contributing sources used in published material or public statements.
- m) Not misrepresent, either directly or by implication, professional qualifications or affiliations.
- n) Not be associated, directly or indirectly, with any services or products in any way that are misleading or incorrect.
- o) Report any evidence of child abuse to the appropriate agency and follow up to ensure that appropriate action has been taken.
- p) Be supportive of assistance and treatment where there is evidence of impairment in a colleague or service recipient.
- q) Recognize the effect of impairment on professional performance and be willing to seek appropriate treatment for him/her.

(continued on reverse side)

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- r) Protect service recipient rights and ensure confidentiality by adhering to all applicable State and Federal laws and regulations. The CPP and CPS shall not participate in, or condone, any illegal activity, including the use of illegal chemicals or the possession, sale or distribution of illegal chemicals. The CPP and CPS shall not participate in, condone, or be an accessory to, dishonesty, fraud, deceit or misrepresentation.
- s) Take the initiative to support, promote and improve the delivery of high-quality services throughout the continuum of care of prevention, intervention, treatment and aftercare.
- t) Advocate for changes in public policy and legislation to afford opportunities and choices for all persons whose lives are impaired or impacted by the disease of alcoholism, tobacco use and other drug abuse and addictions and to promote the health and well-being of all human beings.
- u) Actively participate in the public awareness of the effects of alcohol, tobacco and other drug effects, including alcoholism and other addictions and act to ensure that all persons, especially the disadvantaged, have access to necessary resources and services.

(Adapted from the National Association of Prevention Professionals and Advocates'
"Code of Ethical Conduct for Prevention Professionals")